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## Haringey Schools Forum

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THURSDAY 12 JULY 2018 AT 15:45 HRS FOR 16:00 HRS – GLADESMORE COMMUNITY SCHOOL, CROWLAND ROAD, TOTTENHAM, LONDON N15 6EB

### **AGENDA**

**1. CHAIR'S WELCOME**

**2. APOLOGIES AND SUBSTITUTE MEMBERS**

Clerk to report.

**3. DECLARATIONS OF INTEREST**

Declarations are only required where an individual member of the Forum has a pecuniary interest in an item on the agenda.

**4. MINUTES OF THE MEETINGS OF 22 FEBRUARY 2018 (PAGES 1 - 4)**

**5. MATTERS ARISING**

**6. FORUM MEMBERSHIP (PAGES 5 - 10)**

To review and agree the Forum's membership for 2018-19

**7. UPDATE ON TUITION SERVICE (VERBAL REPORT)**

**8. THE SCHOOLS INTERNAL AUDIT PROGRAMME 2017-18 FEEDBACK (PAGES 11 - 18)**

To advise the Schools Forum of the outcomes of the 2017/18 audit programme and formal follow up audits for 2016/17 audits.

**9. GROWTH FUND UPDATE 2018-19 (PAGES 19 - 24)**

To inform members of the Growth Fund liabilities for 2018 -19.

**10. HIGH NEEDS BLOCK 2017/18 (PAGES 25 - 50)**

The purpose of this paper is to set out the budget outturn position for the High Needs Block 2017/18 and note the factors contributing to continued pressure on the High Needs Block as a result of increased demand and reduced budget.

To set out the budget position for 2018/19 and the agreed actions to manage demand for the High Needs Block across Mainstream Schools Special Schools, Alternative Provision and Hospital Provision 0-25 years.

To set out a high level forecast for the budget for 2019/20 to 2022/23 based on conservative assumptions in order to highlight the shortfall in budget for the next two years and the possible implications if mitigating actions proposed are not successful.

**11. ADMINISTRATIVE ARRANGEMENTS FOR THE ALLOCATION OF CENTRAL GOVERNMENT GRANTS PAID TO SCHOOLS VIA THE LOCAL AUTHORITY (PAGES 51 - 56)**

To comply with the Schools Forum (England) Finance Regulations in informing members of the arrangements for administering grants paid to schools.

**12. SCHOOLS BUDGET OUTTURN AND FINAL BUDGET ALLOCATION FOR DEDICATED SCHOOLS GRANT FOR 2017-18 (PAGES 57 - 70)**

- (i)** To advise the Schools Forum of the latest Dedicated Schools Grant allocations for 2017-18 and 2018-19.
- (ii)** To advise the School Forum of the 2017-18 DSG Outturn
- (iii)** To advise the Schools Forum of the Schools Balances carried forward from the 2017-18 financial year.
- (iv)** To advise the School Forum of individual schools balances carried forward and schools in financial difficulty.

**13. WORK PLAN 2018/19 (PAGES 71 - 72)**

To inform the Forum of the proposed work plan for 2018-19 and provide members with an opportunity to add additional items.

**14. UPDATE FROM WORKING PARTIES (IF ANY)**

**15. ANY OTHER URGENT BUSINESS**

**16. DATE OF FUTURE MEETINGS**

- **18 October 2018**
- **6 December 2018**
- **17 January 2019**
- **28 February 2019**
- **11 July 2019**



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**MINUTES OF THE SCHOOLS FORUM MEETING  
WEDNESDAY 22 FEBRUARY 2018**

**Schools Members:**

**Headteachers:**

**Special (1)**

**Nursery Schools (1)**

**Primary (7)**

\*Martin Doyle (Riverside)

\*Peter Catling (Woodlands Park)

(A)Adrian Hall (Rhodes Avenue)

Dawn Ferdinand, (The Willow)

Julie D'Abreu (Devonshire Hill)

\*Will Wawn (Bounds Green)

(A) Andy Webster (Parkview)

Sharon Easton (St Paul's and All Hallows)

\*Elma McElligott (Woodside)

Vacancy

Cal Shaw (Stroud Green)

\*Fran Hargrove (St Mary's CE)

Emma Murray (Seven Sisters)

\*Tony Hartney (Gladesmore) (Chair)

(A)Michael McKenzie (Alexandra Park)

**Secondary (2)**

**Primary Academy (1)**

**Secondary Academies (2)**

**Alternative Provision**

**Governors:**

**Special (1)**

**Children's Centres (1)**

**Primary (7)**

\*Jean Brown (The Vale)

\*Melian Mansfield (Pembury)

Jenny Thomas (Lordship Lane)

\*John Keever (Seven Sisters)

(A)Laura Butterfield (Coldfall)

(A)Zena Brabazon (Seven Sisters)

\*Johanna Hinshelwood (Hornsey Girls)

\*Terrence Sullivan (Park View)

Natasha Lewis (St Ann's)

\*Noreen Graham (Woodside)

\*Andreas Adamides (Stamford Hill)

\*Hannah D'Aguiar (Chestnuts Primary)

Lorna Walker (Rokesly Infants)

Vacancy

**Secondary (3)**

**Primary Academy (1)**

**Secondary Academies (2)**

Vacancy

**Non School Members: -**

**Non – Executive Councillor**

\*Cllr Ann Waters

**Professional Association Representative**

\*Ed Harlow

**Trade Union Representative**

Pat Forward

**14-19 Partnership**

Russ Lawrence

**Early Years Providers**

Susan Tudor-Hart

**Faith Schools**

\*Nikki Purvis, SBM

**Pupil Referral Unit**

Clare Macdonald

**Observers: -**

**Cabinet Member for CYPS**

\*Cllr Elin Weston

**Also attending:**

LBH Interim Director of Children's Services

\*Margaret Dennison

LBH Joint Assistant Director, Schools and Learning

\*James Page

LBH Joint Assistant Director, Schools and Learning

\*Eveleen Riordan

LBH Assistant Director, Quality Assurance, Early Help & Prevention

Gill Gibson

LBH Senior Business Partner

Paul Durrant

LBH Finance Business Partner (Schools and Learning)

\*Kamaljit Kaur

LBH Head of SEN and Disability

\*Vikki Monk-Myer

LBH Early Years Commissioning Manager

Ngozi Anuforo

The Alternative Provision Commissioner

Deborah Tucker

LBH Principal Education Welfare Officer & Traded Services Manager

\*Michael Welton

LBH Governance Services Manager

\*Carolyn Banks

Haringey Clerk (minutes)

\*Saru Balakrishnan

**Haringey attendees**

Chi Wong

Kamaljit Kaur

Johannah Hunt

\* Members present

(A) Apologies given

**MINUTES OF THE SCHOOLS FORUM MEETING  
WEDNESDAY 22 FEBRUARY 2018**

MINUTE No.	SUBJECT/DECISION	ACTION BY
<b>1.</b>	<b>CHAIR'S WELCOME</b>	
1.1	The Chair welcomed everyone to the meeting.	
<b>2.</b>	<b>APOLOGIES AND SUBSTITUTE MEMBERS</b>	
2.1	Apologies were received in advance from Andy Webster, Zena Brabazon, Laura Butterfield, Adrian Hall, Michael McKenzie and Linda Sarr. Melian Mansfield advised she would arrive late to the meeting.	
2.2	Substitutions: There were none	
<b>3.</b>	<b>DECLARATIONS OF INTEREST</b>	
3.1	None.	
<b>4.</b>	<b>MINUTES OF THE MEETING HELD ON 17 JANUARY 2018</b>	
4.1	The minutes of 17 January 2018 meeting were approved.	
<b>5.</b>	<b>MATTERS ARISING FROM 17 JANUARY 2018 MEETING</b>	
5.1	6.3 Agenda item this meeting. 9.b Proposal to put Tuition Service on SEN programme – the suitability of the building is being looked at. 10.1 This action has been completed.	
<b>6.</b>	<b>DEDICATED SCHOOLS GRANT (DSG)</b>	
6.1	<p>It was noted that an error had taken place in the count of the last vote. To address this, it was agreed to submit the paper to the DfE in time and to postpone the vote to this meeting.</p> <p>Voting papers were circulated to all eligible to vote.</p> <p>Schools Forum to note the Dedicated Schools revenue figure on the paper.</p> <p>KK presented the paper, circulated in advance of the meeting. The budget was presented at the last meeting. The de-delegated budget needs to be agreed for the service, the only change is in budget monitoring from last month to this month, Appendix 1. Last time it was a £780k underspend, now it is £1m.</p> <p>The main movement in the forecast is in growth.</p> <p>The High Needs Block is more optimistic now than last time.</p> <p>The overspend has been higher than thought at around £800k</p> <p>The budget will be signed off on Monday.</p> <p>KK has circulated the link to Haringey which is based on the 2017/18 budget.</p> <p>There is only one change, which is that inflation is not on there, which will change the figure by 1% or 2% depending on the figure for inflation. <b>Action</b> KK to re-send the link.</p> <p>The HCSS software is widely used for budget profiling, information that is needed by the LA to update schools. <b>Action</b> KK to send members the link to HCSS.</p> <p>A member <b>asked</b>, with reference to point 11.1 and notes a-f, these are indicative figures, will these change and will there be time to amend them within the timeframe. No, schools will have to do their own projections.</p> <p>In terms of the de-delegated decision the key points are in the paper from a whole school perspective.</p> <p>MW talked to members about safeguarding across Haringey with Home Advisers making unannounced visits to ensure home schooled children are receiving proper education.</p> <p>The team also works with missing children and makes referrals to the new LA of</p>	<p>KK</p> <p>KK</p>

**MINUTES OF THE SCHOOLS FORUM MEETING  
WEDNESDAY 22 FEBRUARY 2018**

	<p>residence. They deal with unauthorized absence, sex exploitation, FGM and runaways.</p> <p>A member <b>noted</b> that this is an in-principle issue as it is a statutory service which must be provided. Anything more has to be paid for and primaries are being asked to top slice this service.</p> <p>Members discussed this issue further and <b>asked</b> if we don't de-delegate, whose legal responsibility will it be to find the children who go missing.</p> <p>Since 2016 it has been the joint responsibility of schools and the LA.</p> <p>A member <b>asked</b> with reference to home schooled children, if we don't de-delegate who would provide this service.</p> <p>There is a Home Education person employed at the LA on a part time basis. If we don't de-delegate this will not change. The person is at maximum capacity, a staff member is needed to go on visits.</p> <p>A member <b>asked</b> in terms of enforcement of legal action how would that work.</p> <p>It is a statutory duty so would happen regardless.</p> <p>Further discussion was held on this issue and it was noted that further consideration needs to be given into how decisions are made at Schools Forum.</p> <p>The Chair advised that a review is due to take place and the findings will be discussed at this meeting.</p> <p>A member <b>asked</b> if the vote is agreed will this be on the basis of a review.</p> <p>Yes, there will be a review with key facts.</p> <p>Votes were cast by eligible members and the clerk collected the ballot papers.</p> <p>The vote was counted by clerk and Chair: -</p> <p>5 For 4 Against 1 Abstention</p> <p>The vote was therefore carried for a de-delegated budget.</p>	
<b>7.</b>	<b>THE SCHOOLS INTERNAL AUDIT PROGRAMME</b>	
7.1	<p>Jenny Barton spoke to the paper, circulated in advance of the meeting. It was noted that Anne Woods has left the LA and that Minesh Jani from Tower Hamlets will be joining after Easter.</p> <p>The Council is obliged to provide an audit service.</p> <p>Schools to be visited this year are given in Appendix A. Most schools are on a four-year cycle.</p>	
<i>MM arrived 4.55pm</i>		
	<p>The interim results from the schools programme are given in Table 1. The updated version will be brought to a later Schools Forum meeting.</p> <p>Members <b>asked</b> that the training provided tallies with the work done as it does not do so currently.</p> <p>Members <b>asked</b> how Haringey schools' levels compare with other Boroughs.</p> <p>It is not done as a comparison. There should be some level of concern with the results, as they are not as good as they should be.</p> <p>Members <b>asked</b> if guidance can be provided.</p> <p>Training is part of that. Financial support is also needed from the LA.</p>	
<b>8.</b>	<b>GROWTH FUND UPDATE</b>	
8.1	Paper circulated in advance of the meeting for information.	
<b>9.</b>	<b>SCHEME FOR FINANCING SCHOOLS</b>	
9.1	Paper circulated in advance of the meeting for note. <b>Action</b> KK will send a link to schools.	KK
<b>10.</b>	<b>SCHOOLS FINANCIAL VALUE STATEMENT</b>	

**MINUTES OF THE SCHOOLS FORUM MEETING  
WEDNESDAY 22 FEBRUARY 2018**

10.1	Paper circulated in advance of the meeting for information. Schools will have to complete this, sign it and submit it to the Council by 30 April.	
<b>11.</b>	<b>UPDATE FROM RESTRUCTURE AND SCRUTINY PANEL</b> ER advised that this was agreed in July and that there is a Panel which has been meeting on a monthly basis to look at the restructures since September 2017. There is a summary of decisions at the bottom of page 2 of the paper with a link to details of the structure of the Panel and dates of future meetings.	
<b>12.</b>	<b>WORK PLAN 2017/18</b>	
	The Work Plan was noted, there were no additions to the plan.	
<b>13.</b>	<b>UPDATE FROM WORKING PARTIES</b>	
<b>13.1</b>	MM advised that EY are working with the HNB and will report in due course.	
<b>14.</b>	<b>ANY OTHER URGENT BUSINESS</b>	
<b>14.1</b>	None.	
<b>15.</b>	<b>DATES OF FUTURE MEETINGS</b>	
<b>15.1</b>	<ul style="list-style-type: none"> <li>• 17 May 2018</li> <li>• 12 July 2018</li> </ul>	

There being no further business the meeting closed at 5.10pm.





<b>Agenda Item</b>
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<b>Report Status</b>
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For information/note	<input checked="" type="checkbox"/>
For consultation & views	<input type="checkbox"/>
For decision	<input checked="" type="checkbox"/>

**The Children and Young People's Service**

**Report to Haringey Schools Forum – 12 July 2018**

<b>Report Title: Schools Forum Membership and Constitution</b>
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<b>Authors:</b> Carolyn Banks, Clerk to the Forum
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Telephone: 020 8489 5030
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Email: Carolyn.banks@haringey.gov.uk
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<b>Purpose: To review the membership of the Forum.</b>
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<b>Recommendations:</b>
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| <ol style="list-style-type: none"> <li>1. That the current membership of the Forum be retained for a further two years and the constitution be amended accordingly.</li> <li>2. That there be no change to the allocation of places for Academy representatives for the Academic year 2018/19.</li> <li>3. To note the number of governor vacancies and the responsibility of the Haringey Governors Association for submitting nominations for these places.</li> </ol> |
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## **1. Report.**

### **1.1 Membership**

The current three year period of office for Forum Members comes to an end in September 2018.

1.2 In accordance with the Constitution, a re- election process should commence following this meeting. However in view of the unknown future of the Forum post 2020 it is suggested that the current membership be retained for a further two years. Also based on decisions made the Forum has always opted to maintain its status quo. This still leaves the option for individual members to resign and replacements found as required.

1.3 Further to a triannual review the Forum has previously agreed to annually consider its membership by reviewing the number of Academy members ensuring that the allocation of places to the schools representatives is proportionately represented, having regard to the total number of pupils in attendance in the various settings. This will continue to take place.

1.4 The attached appendix sets out the number and proportion of pupils in attendance across the school settings and phases. This indicates that in accordance with the October 2017 census data 6,796 pupils attend secondary Academies compared with 5,460 attending community secondary schools. The primary phase shows that 3221 pupils attend primary academies compared with 18393 attending community primary schools. The resulting increase in the number of pupils attending secondary academies arising from the conversion of Dukes Aldridge is not quite sufficient to vary the allocation of places for secondary headteachers from the current equal number of places on the Forum for Academies and community schools. Similarly the number of primary places on the Forum should remain unchanged at 7 places for primary maintained schools and 1 place for a primary academy representative.

1.5 As well as the term of office coming to an end, a member ceases to be a member of the Schools Forum if he or she no longer occupies the office by which he or she became eligible for election, selection or appointment to the Schools Forum. There are currently vacancies for a primary maintained governor, a secondary maintained school governor, one primary academy governor and one secondary academy governors. The Haringey Governors Association are responsible for nominating governors for filling these places.

## **2. Future of the Forum**

- 2.1 At present there remains a continuing role for the schools' forums in 2018-19 and 2019-20 in carrying out their current role of advising on the school's budget and the local formula, and making decisions about what spending can be held centrally in relation to schools. However, when the expected 'hard formula' for schools comes in 2020-21, the Forum's role will change substantially. The DfE has indicated that in advance of introducing the 'hard formula', they will carry out a review from first principles of the role, functions and membership of schools' forums.

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## 2018-19 BUDGET - OCT 2017 CENSUS

URN	LAESTAB	School Name	NOR
<b>Total</b>			
102078	3092002	Belmont Junior School	223.00
102079	3092003	Belmont Infant School	174.00
102080	3092004	Bounds Green Junior School	265.00
102081	3092005	Bounds Green Infant School	233.00
102084	3092008	Campsbourne Junior School	213.00
102085	3092009	Campsbourne Infant School	170.00
102087	3092015	The Devonshire Hill Nursery & Primary School	401.00
102091	3092020	Earlsmead Primary School	438.00
102092	3092022	Highgate Primary School	422.00
102094	3092025	Lancasterian Primary School	426.00
102097	3092029	Coldfall Primary School	629.00
102098	3092031	Tetherdown Primary School	418.00
102106	3092041	Rokesly Junior School	357.00
102107	3092042	Rokesly Infant & Nursery School	269.00
102110	3092045	South Haringay Junior School	234.00
102111	3092046	South Haringay Infant School	177.00
102112	3092047	Stamford Hill Primary School	184.00
102115	3092051	West Green Primary School	213.00
102120	3092057	Tiverton Primary School	341.00
102121	3092058	Coleridge Primary School	835.00
102124	3092062	Welbourne Primary School	541.00
102125	3092063	Lea Valley Primary School	421.00
102127	3092065	Ferry Lane Primary School	167.00
102128	3092072	Rhodes Avenue Primary School	633.00
102129	3092075	Crowland Primary School	400.00
102130	3092076	Weston Park Primary School	259.00
102131	3092077	The Willow Primary School	416.00
130358	3092078	Alexandra Primary School	391.00
131096	3092079	Stroud Green Primary School	331.00
131478	3092080	Earlham Primary School	334.00
131595	3092082	Lordship Lane Primary School	588.00
131731	3092083	Bruce Grove Primary School	391.00
131879	3092084	Risley Avenue Primary School	583.00
131871	3092085	Muswell Hill Primary School	418.00
132253	3092088	Seven Sisters Primary School	370.00
102132	3093000	St Aidan's Voluntary Controlled Primary School	206.00
133707	3093001	The Mulberry Primary School	616.00
102135	3093302	St Michael's CofE Voluntary Aided Primary School	402.00
102136	3093303	St James Church of England Primary School	257.00
102139	3093306	St Mary's CofE Primary School	511.00
102142	3093500	Our Lady of Muswell Catholic Primary School	389.00
102143	3093501	St Francis de Sales RC Junior School	350.00
102144	3093502	St Ignatius RC Primary School	347.00
102145	3093503	St Mary's Priory RC Junior School	238.00
102146	3093504	St Paul's RC Primary School	204.00

102147	3093505	St Mary's Priory RC Infant School	170.00
102148	3093506	St Peter-in-Chains RC Infant School	147.00
102149	3093507	St Francis de Sales RC Infant School	256.00
102150	3093508	St Martin of Porres RC Primary School	196.00
102151	3093509	St Gildas' Catholic Junior School	219.00
102152	3093510	St John Vianney RC Primary School	204.00
134680	3093511	Chestnuts Primary School	416.00
134681	3093512	North Harringay Primary School	405.00
102153	3094029	Hornsey School for Girls	708.00
102154	3094030	Highgate Wood Secondary School	1,177.00
102156	3094032	Fortismere School	1,309.00
102157	3094033	Gladesmore Community School	1,226.00
131757	3094037	Park View School	1,040.00
136808	3092011	Eden Primary	205.00
141209	3092012	Brook House Primary School	379.00
138446	3092016	Harris Primary Academy Coleraine Park	397.00
138447	3092021	Harris Primary Academy Philip Lane	394.00
138588	3092028	Noel Park Primary School	510.00
138589	3092030	Trinity Primary Academy	412.00
139240	3092037	Holy Trinity CofE Primary School	188.00
139176	3093300	St Paul's and All Hallows CofE Infant School	132.00
139169	3093304	St Ann's CE Primary School	205.00
139175	3093307	St Michael's CofE Primary School	182.00
139177	3093308	St Paul's and All Hallows CofE Junior School	217.00
144900	3094031	Duke's Aldridge	1,030.00
137745	3094034	Woodside High School	999.00
137531	3094036	Alexandra Park School	1,131.00
139362	3094703	St Thomas More Catholic School	934.00
139616	3094705	Heartlands High School	1,131.00
133386	3096905	Greig City Academy	843.00
140935	3094000	Harris Academy Tottenham	728.50
		<b>TOTAL</b>	<b>33,876</b>

## Agenda Item

8



The Children and Young People's Service

## Report Status

For information/note ☒  
 For consultation & views ☐  
 For decision ☐

Report to Haringey Schools Forum – 12 July 2018

**Report Title:** The schools internal audit programme 2017/18 feedback.

**Author:** Head of Audit and Risk Management

**Purpose:**

To advise the Schools Forum of the outcomes of the 2017/18 audit programme and formal follow up audits for 2016/17 audits.

**Recommendations**

1. That the Schools Forum note the feedback on the work completed in 2017/18, including the results of the follow up audits on 2016/17 audits (Appendix B).

**1. Background**

1.1 Internal Audit undertakes a programme of school audit reviews to ensure that schools are complying with the requirements of the Schools Finance Manual, issued in 2007; and to confirm the risks associated with the key financial and non-financial processes are appropriately managed.

1.2 Internal audit are not required to audit the School Financial Value Standard (SFVS), but the audit programme does check that the SFVS has been completed and whether it aligns with the audit findings. The programme of routine audit work should assist schools in providing assurance to Governing Bodies for the SFVS.

**2. Feedback on 2017/18 audit work**

2.1 This report:

- Summarises the overall outcomes and assurance levels provided to individual schools from 2014/15 to 2017/18;
- Provides information on the results of the formal follow up programme;
- Provides a summary of assurance and recommendations made; and

- Highlights some of the common issues relating to non-compliance with the Schools Finance Manual and good control where recommendations were made.

2.2 Table 1 below summarises the overall outcomes and assurance ratings for the previous four financial years of all internal audits completed.

**Table 1 – Summary of assurance ratings provided 2013/14 to 2016/17**

	<b>Number of audits planned</b>	<b>Substantial Rating assurance</b>	<b>Limited Assurance rating</b>	<b>Nil Assurance rating</b>
<b>2014/15</b>				
Primary Schools (incl. nursery/special)	12	4	8	0
Secondary Schools	1	1	0	0
<b>Sub-total</b>	<b>13</b>	<b>5</b>	<b>8</b>	<b>0</b>
<b>2015/16</b>				
Primary Schools (incl. nursery/special)	12	8	2	0
Secondary Schools	1	1	0	0
<b>Sub-total</b>	<b>13</b>	<b>9</b>	<b>4</b>	<b>0</b>
<b>2016/17</b>				
Primary Schools (incl. nursery/special)	21	6	12	3
Secondary Schools	3	2	1	0
<b>Sub-total</b>	<b>24</b>	<b>8</b>	<b>13</b>	<b>3</b>
<b>2017/18</b>				
Primary Schools (incl. nursery/special)	19	10	7	2
Secondary Schools	1	1	0	0
<b>Sub-total</b>	<b>20</b>	<b>12</b>	<b>6</b>	<b>2</b>
<b>Total</b>	<b>70</b>	<b>33</b>	<b>32</b>	<b>5</b>

2.3 School audits showed significant weaknesses across all schools in 2016/17. While 2017/18 has seen a fall in the number of primary and secondary schools with limited or nil assurance ratings performance is still not at a level where we can see performance as satisfactory and risks are being robustly managed across all schools. Some schools in the 2017/18 audit programme were included as a result of previous poor audit assurance ratings and while some of these schools are on an improvement arc this improvement is slow and other schools remain a cause for concern.



2.4 The fact that nearly half the schools visited (40%) of schools audited in 2017/18 returned limited or nil assurance ratings is still of concern to the Council and the issue has been included in the statutory Annual Governance Statement, which will be reported to the Corporate Committee on 24 July 2018 as part of the Council's annual accounts.

2.5 For the school audits completed in 2017/18, **a total of 211 recommendations** were raised. Table 2 below summarises the recommendations made and groups them into the areas, which are contained within the individual audit reports issued to schools.

**Table 2 – Overall assessment of control and recommendations raised**

Area of Scope	Adequacy of Controls	Effectiveness of Controls	Recommendations Raised		
			Priority 1	Priority 2	Priority 3
<b>Management organisation</b>	<b>Green</b>	<b>Amber</b>	3	26	4
School improvement plan & OFSTED inspections	Green	Amber	1	9	3
<b>Budget setting, monitoring &amp; control</b>	<b>Green</b>	<b>Amber</b>	5	14	3
<b>Staffing</b>	<b>Green</b>	<b>Amber</b>	0	17	13
<b>Expenditure &amp; accounting records</b>	<b>Green</b>	<b>Amber</b>	13	26	8
<b>Asset Management &amp; Inventory Records</b>	<b>Green</b>	<b>Amber</b>	4	21	14
School unofficial fund	Green	Amber	0	2	0
Income & Lettings	Green	Amber	1	12	5
School meals	Green	Amber	1	4	2
<b>Total</b>			<b>28</b>	<b>131</b>	<b>52</b>

2.4 The areas reported as 'Green' under '**Adequacy of Controls**' indicate that, overall, schools have identified appropriate controls which, if put into practice, would be adequate to manage the risks for that area.

2.5 The column headed '**Effectiveness of Controls**' is an assessment of whether the controls are in place and are working as intended. There were no areas where controls were reported to be working effectively in 2017/18.

2.6 Overall, the proportion of schools receiving 'limited' and 'nil' assurance has decreased, which is reflected in the numbers of recommendations raised. The number of Priority 1 recommendations – those which we identify as

fundamental control weaknesses, have decreased slightly from 2016/17 but is still high due to a handful of schools where we raised a number of recommendations. A summary of the outcomes and the details of Priority 1, 2 and 3 recommendations raised is shown in Appendix A.

- 2.7 Appendix A shows that significant areas of non-compliance with the Schools Finance Manual found in 2017/18 were within the key financial areas reviewed by audit: **management organisation; budget setting, monitoring and control; staffing; expenditure and accounting records; and asset management**. These are the same areas as last year.
- 2.8 Serious weaknesses identified in these key financial processes and areas indicate that basic financial controls were weak or non-existent, which puts the school at a greater risk of fraud and poor long term financial stability. Key findings in 2017/18 included the following:

**Non-compliance with financial regulations:**

- No or insufficient numbers of written quotations or tenders obtained or retained for high value expenditure; high value expenditure not approved by Governing Body; purchase orders not raised for high value/routine expenditure; no valid invoice or receipts to support payments; bank mandate out of date; bank reconciliations not completed; debt recovery processes not taking place; budget monitoring not undertaken; VAT returns not submitted regularly.

**Items missing or non-existent:**

- Asset/inventory register (regular checks not completed); Policies not in place e.g. lettings; Register of Business Interests in that not all Governors and staff with financial responsibility completed an entry; incomplete; No Statement of Acceptance (Contract) for new staff; overtime claim forms do not state reason for hours worked. Recruitment checks not undertaken in a timely fashion.

**Non-ratification/minuting:**

- Budget not approved by Governors: use of Pupil Premium not signed off by Governing Body; no sign off of Governing Body and Committee minutes; SFVS self assessment not approved; results of inventory and asset management reviews not approved.

**3. Follow up programme for 2015/16 audits**

- 3.1 Internal Audit completed formal follow up audits of all school audits, which were undertaken in 2016/17 that received limited assurance or better. School receiving No assurance to subject to a revisit and full audit. Appendix B sets out the overall results of the follow up work completed. The follow up visits were all arranged in advance with the individual schools and took account of the deadlines confirmed by schools for the implementation of recommendations.

3.2 The Schools Forum will note that of the 172 original recommendations, only 111 (58%) had been fully implemented at the time of the follow up visit. This is a similar level as we reported last year (57%). This includes 80 significant issues, which were raised as priority 2 recommendations, which have not been fully addressed. This will lead to increased risk at these schools of fraud, error or inappropriate practice going uncorrected.

#### **4. Training for Schools and Governors**

4.1 In addition to circulating the school audit test programme, workshop sessions have been provided for school staff (finance staff, bursars, and head teachers) over the last four financial years to further assist schools in identifying key risk areas and control processes.

4.2 A workshop session was again offered to all schools with audits planned during 2018/19 as well as where key staff have changed in the last twelve months; the session was held on 19 April 2018 and some schools due to be audited in 2018/19 attended the session although attendance was lower than in previous years.

4.2 A training session on audit and risk management, covering governor roles and responsibilities in relation to audit and risk management, as well as providing advice and guidance on key risk/control areas, was provided on 23 March 2017 as part of the annual governor training package. The training session is offered every academic year and will be scheduled for this financial year.

#### **5. Internal Audit schools audit and follow up programme 2017/18**

5.1 Internal Audit has started the 2018/19 programme of school audit visits; and all schools have been contacted and agreed dates for their respective audit visits.

5.2 Internal Audit will also arrange to follow up the 2017/18 audit work and recommendations. Both schools will be visited during 2018/19; where 'nil' assurance reports were issued and a full audit will be undertaken at these schools. Any schools where high priority recommendations (Priority 1) remain outstanding may be included in the 2019/20 school audit programme for further review.

#### **6. Recommendations**

6.1 That the Schools Forum notes the feedback on audit work completed in 2017/18.

## Appendix A

## Outcomes and recommendations raised for 2017/18 school audits

School	Type	Assurance	Recommendations Raised			Total
			Priority 1	Priority 2	Priority 3	
Primary School	Primary	Substantial	0	4	4	8
Primary School	Primary	Substantial	0	0	4	4
Primary School	Primary	Limited	3	9	5	17
Primary School	Primary	None	10	10	1	21
Primary School	Primary	Substantial	0	4	0	4
Primary School	Primary	Limited	3	14	1	18
Primary School	Primary	Limited	1	6	4	11
Primary School	Primary	Limited	0	9	5	14
Primary School	Primary	Substantial	0	4	0	4
Primary School	Primary	Substantial	0	4	2	6
Primary School	Primary	Substantial	0	6	2	8
Primary School	Primary	Substantial	0	6	1	7
Primary School	Primary	Substantial	0	5	2	7
Primary School	Primary	None	6	13	2	21
Primary School	Primary	Limited	4	7	4	15
Primary School	Primary	Substantial	0	7	2	9
Primary School	Primary	Substantial	0	6	7	13
Infants School	Infants	Substantial	0	5	5	10
Infants School	Infants	Limited	0	9	1	10
<b>Primary &amp; Special Sub-total</b>			<b>27</b>	<b>128</b>	<b>52</b>	<b>207</b>
Secondary School	Secondary	Substantial	1	3	0	4
<b>Secondary Sub-total</b>			<b>1</b>	<b>3</b>	<b>0</b>	<b>4</b>
<b>Total</b>			<b>28</b>	<b>131</b>	<b>52</b>	<b>211</b>

Appendix B

The results of internal audit's follow-up work on the 2017/18 school audits

Follow up of 2016/17 audits	Type	Assurance	Recommendations raised				Recommendations Implemented				Partly Impl.	N/A	Not Impl.	Priority 1 O/S
			Priority 1	Priority 2	Priority 3	Total	Priority 1	Priority 2	Priority 3	Total				
<b>School</b>														
Primary School	Primary		2	12	2	16	2	7	1	10	6	0	0	0
Primary School	Primary		0	7	3	10	0	5	1	6	2	0	2	0
Primary School	Primary		0	12	1	13	0	4	0	4	4	0	4	0
Primary School	Primary		0	10	6	16	0	8	6	14	2	0	0	0
Primary School	Primary		0	6	1	7	0	3	0	3	1	0	3	0
Primary School	Primary		0	8	1	9	0	2	0	2	5	0	2	0
Primary School	Primary		0	5	2	7	0	5	2	7	0	0	0	0
Primary School	Primary		0	7	0	7	0	6	0	6	1	0	0	0
Primary School	Primary		0	6	1	7	0	4	0	4	3	0	0	0
Junior School	Junior		0	6	3	9	0	1	0	1	6	0	2	0
Junior School	Junior		0	3	9	12	0	1	8	9	2	0	1	0
Nursery School	Nursery		0	12	2	14	0	6	1	7	5	0	2	0
Nursery School	Nursery		0	5	1	6	0	4	1	5	1	0	0	0
Special School	Special		0	6	2	8	0	3	2	5	2	0	1	0
Special School	Special		1	8	1	10	1	7	0	8	1	0	1	0
Special School	Special		1	6	3	10	1	5	1	7	3	0	0	0
Special School	Special		0	10	1	11	0	7	1	8	3	0	0	0
<b>Primary/Special Total</b>			<b>4</b>	<b>129</b>	<b>39</b>	<b>172</b>	<b>4</b>	<b>78</b>	<b>24</b>	<b>106</b>	<b>47</b>	<b>0</b>	<b>18</b>	<b>0</b>
Secondary School	Secondary		1	13	2	16	0	1	0	1	11	1	0	0
Secondary School	Secondary		0	1	3	4	0	1	3	4	0	0	0	0
<b>Secondary Total</b>			<b>1</b>	<b>14</b>	<b>5</b>	<b>20</b>	<b>0</b>	<b>2</b>	<b>3</b>	<b>5</b>	<b>11</b>	<b>1</b>	<b>0</b>	<b>0</b>
<b>Overall Total</b>			<b>5</b>	<b>143</b>	<b>44</b>	<b>192</b>	<b>4</b>	<b>80</b>	<b>27</b>	<b>111</b>	<b>58</b>	<b>1</b>	<b>18</b>	<b>0</b>

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<b>Agenda Item 9</b>
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<b>Report Status</b>
For information/note <input checked="" type="checkbox"/>
For consultation & views <input type="checkbox"/>
For decision <input type="checkbox"/>

**Report to Haringey Schools Forum – 12<sup>th</sup> July 2018**

<b>Report Title: Growth Fund 2018-19 Update</b>
<b>Authors:</b>  <b>Kamaljit Kaur</b> - Finance Business Partner – Schools and Learning Contact: 020 8489 5232 Email: <a href="mailto:Kamaljit.kaur@haringey.gov.uk">Kamaljit.kaur@haringey.gov.uk</a>
<b>Purpose:</b>  <b>To inform members of the Growth Fund liabilities for 2018 -19.</b>
<b>Recommendations:</b>  1. For Members information, regarding total cost of 2018/19 Growth Fund set out in Table 1.

## **1. Introduction.**

- 1.1. The funding changes introduced in April 2013 allow a local authority, with the approval of its Schools Forum, to top-slice a contingency for in year increases in pupil numbers. The Fund applies equally to maintained schools and recoument academies and is design to cover required in-year growth in forms of entry and not general variations in numbers experienced during the year.
- 1.2. Schools Forum agreed to allocate £985k to a Growth Fund for the 2018-19 financial year. In addition, for Heartlands High School (which is an academy), 5/12 (£330k) is recouped by the LA from ESFA (Education and Skills Funding Agency) for overpayment of Growth Fund from 2015-16 to 2017-18.
- 1.3. The 5/12 total amount of £200k for St. Thomas More RC, Greig City Academy and Harris Academy Tottenham will be recouped by LA from ESFA in 2019/20. As academies get the funding from ESFA based on academic year (Sep-Aug) but to start the new class we pay full financial year (Apr-Mar) to academies and then we recoup 5/12 (Nov-Mar) from ESFA in following year's APT.
- 1.4. Officers are required to report all payments made against the Growth Fund to Schools Forum at least once a year. Any unspent Growth Fund will be carry forward and added to the formula allocations for the following financial year.
- 1.5. Our secondary school roll projections from the GLA significantly under projected the numbers expected into year 7 in September 2018. This was a position replicated across many of London's local authorities, In Haringey's case the under-projection appears to be a result of:
  1. Reduced migration out of the borough;
  2. A higher number of Haringey families opting for Haringey schools instead of looking out of borough for secondary education;
  3. A corresponding slight dip in demand for Barnet and Enfield school places.
- 1.6. We are working with the GLA to ensure that future projections capture this unexpected high demand for places but meanwhile there has been a greater call than anticipated on the Growth Fund to support the eight bulge classes needed for September 2018 to ensure sufficiency of places.

## **2. Criteria.**

The criteria agreed by Forum for allocations from the Fund are:

- Support growth in pre-16 pupil numbers to meet basic need
- Planned new form of entry approved by the Local Authority:



- Classroom funding based on 7/12 months; appropriate basic per pupil entitlement; expected number in class; plus
- A set-up allocation of £500 for each pupil in a standard class size for the relevant setting.
- In-year bulge class:
  - Start up and classroom costs as above;
- Support for additional classes needed to meet the infant class size regulation
- Ghost funding guarantee KS1:
  - Minimum basic per-pupil funding for 24 pupils in a bulge class established in a previous year: and
- KS1 classes forced to exceed 30 pupils as a result of appeals (further details of this criteria are set out in the Annex):
  - A lump sum equivalent to the funding of a main-scale 1-teacher £32.8k pro-rata to the part of the year.

### 3. Proposed Allocations.

Table 1 sets out the resources now available in the Growth Fund and the proposed calls against it.

**Table 1. Summary of Resources and Allocations to Date.**

School	Type	Size of Class	Academies recoupment in 2019/20 for 5/12	2018-19 Payments £	Total £
Growth Fund Budget					(985,370.00)
<b>Recoupment of 5/12 of Growth Fund paid in 2015-16, 2016-17 and 2017-18 to Heartlands Academy in 2018/19 APT</b>					<b>(330,098.00)</b>
Growth Fund Payments:					
Hornsey School for Girls	Community	27		93,290.00	
Highgate Wood	Community	27		93,290.00	
Park View	Community	27		93,290.00	
Park View	Community	27		93,290.00	
Gladesmore	Community	27		93,290.00	
Bounds Green Infant School	Community	30		72,500.00	
St. Thomas More RC	Academy	27	(66,635.00)	159,924.00	
Greig City Academy	Academy	24	(59,231.00)	142,155.00	
Harris Academy Tottenham	Academy	30	(74,039.00)	177,694.00	
<b>Total Growth Fund Paid</b>			<b>(199,905.00)</b>	<b>1,018,723.00</b>	<b>1,018,723.00</b>
<b>Growth Fund Remaining</b>					<b>(296,745.00)</b>

#### 4. Recommendations.

1. For Members information, regarding total cost of 2018/19 Growth Fund set out in Table 1

#### Annex.

#### Circumstances in which KS1 oversize class funding will be provided.

The legal position is:

**Infant class size** – *Infant classes (those where the majority of children will reach the age of 5, 6 or 7 during the school year) **must not** contain more than 30 pupils with a single school teacher. Additional children may be admitted under limited exceptional circumstances. These children will remain an 'excepted pupil' for the time they are in an infant class or until the class numbers fall back to the current infant class size limit. The excepted children are:*

- a) *children admitted outside the normal admissions round with statements of special educational needs specifying a school;*
- b) *looked after children and previously looked after children admitted outside the normal admissions round;*
- c) *children admitted, after initial allocation of places, because of a procedural error made by the admission authority or local authority in the original application process;*
- d) *children admitted after an independent appeals panel upholds an appeal;*
- e) *children who move into the area outside the normal admissions round for whom there is no other available school within reasonable distance;*
- f) *children of UK service personnel admitted outside the normal admissions round;*

In these circumstances, therefore, it is not necessary to take on an additional teacher; however, it has been the practice in Haringey, and other councils, to continue to provide funding for KS1 classes forced to exceed 30 pupils. The DfE in its allowable criteria recognises this practice and we recommend that it continue as an incentive to schools to willingly accommodate these pupils at the start of their school career. Our recommendations are:

- That class size funding continues in the circumstances shown above.
- That KS1 class size funding recognises the local arrangement that requires a school to take a twin even when this puts the school over number.

- That only one enhancement is made per school even if more than one KS1 class is over-size.

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<b>Agenda Item 10</b>
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<b>Report Status</b>
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For information/note For consultation & views For decision   x
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**Commissioning Unit**

**Report to High Needs Block –**

<b>Report Title: High Needs Block 2017-2018</b>
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<b>Author: Vikki Monk-Meyer Head of Service SEN and Disability</b>
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The purpose of this paper is to set out the budget outturn position for the High Needs Block 2017/18 and note the factors contributing to continued pressure on the High Needs Block as a result of increased demand and reduced budget.

To set out the budget position for 2018/19 and the agreed actions to manage demand for the High Needs Block across Mainstream Schools Special Schools, Alternative Provision and Hospital Provision 0-25 years.

To set out a high level forecast for the budget for 2019/20 to 2022/23 based on conservative assumptions in order to highlight the shortfall in budget for the next two years and the possible implications if mitigating actions proposed are not successful.

**Recommendations:**

1. To note the outturn position of the High Needs Block 2017/18 and the increased demand on the budget.
2. To note the allocated budget for 2018 – 2019, the potential pressures and proposed actions to mitigate the pressures.
3. To note the recommendations from the High Needs Block Sub Group.
4. To note the forecast spend to 2023 if the previous trends continue and the importance of mitigating actions.

## Introduction

1. The purpose of this paper is to report on the outturn of the High Needs Block (HNB) budget for 2017/18 and set out the forecast budget for 2018/19, highlighting the significant pressures and proposed mitigating actions.
2. The HNB has been under pressure since its inception in 2013. The purpose of the budget is to provide financial support for children with Special Education Needs and Disabilities (SEND). Overall the budget referenced as an 'average' support cost over both special and mainstream provision is £30,000. For the population of children with SEND in Haringey this would indicate a budget of £56 million.

## Budget outturn 2017/18

3. The High Needs Block budget was **£33,006,900** in 2017-2018 and overspent in 2017-2018. As a result of this actions were taken in year to address these issues. This led to revised budgets across budget lines under key pressure such as special schools, mainstream schools, college funding and independent and out of borough schools.
4. Despite the revision of budgets for key lines under pressure, the budget outturn was over spent by **£1,500,334**.
5. There have been a number of factors that have contributed to further pressure in the HNB in 2017/18. These include:
  - a) Significant yearly increases in the children who require and Educational Health and Care Plan as a result of the increased age range (0-25 years). Analysis of our local demand shows a 503 (37%) increase over the last 4 years. See Appendix A Chart 1.
  - b) Increased use of special school places with more costly packages for children with increasingly complex needs.
  - c) Increased school top ups for children in mainstream schools.
  - d) Increased costs for children to whom we have a new duty (hospital admission).
  - e) A rise in need for residential therapeutic places linked to those with mental health needs associated with SEMH/Autism.
  - f) Increasing use of Independent School places with increased transport costs due to lack of local capacity.
  - g) High cost residential places for young people 18 and over are increasing.
6. The following table sets out the initial budget for the HNB, the actual outturn and the variances for 2017/18.

		<b>Revised budget 17/18 £</b>	<b>Outturn 17/18 £</b>	<b>Variance £ (underspend)</b>
1	Alternative Prov Commissioning	1,137,900	1,138,820	920
2	In Year Fair Access Panel	338,000	338,596	596
3	Parent Partnership (Markfield)	98,900	96,416	(2,484)
4	Visual Impairment	177,000	175,253	(1,747)
5	SEN Strategy Manager	142,900	106,216	(36,684)
6	Language Support Team	308,200	202,379	(105,821)
7	SEN - Admin Team	182,500	183,576	1,076
8	SEN Portage Service	160,000	217,739	57,739
9	Hearing Impairment Team	162,700	163,187	487
10	SEN - Transport traded	225,000	225,000	0
11	LOVAAS	27,900	49,009	21,109
12	Speech & Language Therapy	460,000	490,082	30,082
13	Autism Support Team	189,500	211,304	21,804
14	Indepndt&VoluntarySc	6,376,900	6,906,712	529,812
15	Special schools-place funding	4,270,000	4,270,000	0
16	Special Schools Top Up	6,986,100	7,687,119	701,019
17	Mainstream. Schools Top Up	4,897,800	4,872,486	(25,314)
18	Special Units Top Up	848,500	1,031,148	182,648
19	Higher Education Top Up	2,427,000	2,813,524	386,524
20	SEN contingency	1,415,000	1,330,868	(84,132)
21	High Needs in Early Years	255,100	224,222	(30,878)
22	Tuition Service	550,000	549,501	(499)
23	Simmons House	180,000	181,162	1,162
24	Early Help Locality Teams and Pathways	1,190,000	1,042,915	(147,085)
<b>25</b>	<b>Subtotal</b>	<b>33,006,900</b>	<b>34,507,234</b>	<b>1,500,334</b>
26	HN contribution to overheads	800,000		
27	HN contribution to attendance & welfare svstem	177,000		
28	HN contribution to head of standards	22,600		
29	HN contribution to TU representation	2,000		
<b>30</b>	<b>TOTAL</b>	<b>34,008,500</b>		

7. The actions taken in year to mitigate the anticipated overspend included:

- Reduced DSG contribution to transport – £275K
- Reduction in staffing for SEN support services – £150K

- Reduction in contribution to Early Help - £120K
  - SEN contingency reduced – £100K
8. A total of £645k was moved to overspending lines. In addition to reduce over spend there was the following action:
- Reduction in Early Years Inclusion Top up from 395K to 195K.
9. As a result of these in year actions special schools and out of borough schools budgets were increased to manage demand by a total of £845K. The mainstream schools budget had been increased at the start of the financial year, in April 2017 by £408,000.

### **Explanation of potential pressure in the block for 2018/19**

10. The HNB has been reduced by £1million for 2018/19 which means that the allocated budget is £33,439,150 million. This is as a result of advice from the Education Funding Agency that they would be top slicing the budget following the move of Conel from Haringey to Camden's responsibility.
11. Therefore place funding for 83 young people's school places is moved to the receiving borough (£500,000 worth of place funding each year – 17/18 and 18/19). This is being done retrospectively, and does not equal the places taken up by Haringey children at Conel College. Currently 12 children attend Conel College from Haringey (£72,000 worth of place funding). This will place the budget under even further pressure.
12. In January 2018 there was a proposal to transfer 0.25% of money from the schools block to the HNB for April 2018 as a one off investment. This equals £480,000. The transfer was proposed to reduce pressure on the HNB and also to allow an uplift in the teaching assistant rate increase. This revised allocation of this funding was discussed at HNB sub group (see paragraph 20).
13. The pressures highlighted in paragraph 5 above continue into 2018/19 and monitoring of applications for EHCs shows that these continue to rise in the first half of the year.
14. The table below shows the forecast spend for 2018/19 and compares it to the revised budget and the outturn in 2017/18. This shows an increased overspend of **£ 1,851,079 million** that does not take into account proposed mitigating actions. However given the loss of £1m of allocated budget, overall the overspend has increased.
15. There are some budget lines where we are clearer about the pressures for 18/19, however given the unpredictability of children and



young people’s movement between school some predictions remain challenging.

16. The following areas are highlighted in the table as currently there is insufficient budget to meet the demands of the service:

a) SEN Portage Service:

This is the home intervention education service for children with complex disabilities 0-5 years. This budget was not set to the correct level for the staff in the services and now runs over budget by one post.

b) Speech and Language therapy:

This line represents the spend on both Speech and Language Therapy and Occupational Therapy commissioned from Whittington Health to meet local need and is now part of a section 75 agreement. The level of budget commissioned is under review.

c) Independent and Voluntary maintained schools:

The independent school places are used when there is not capacity in local or neighbouring special school places. This increased from 89 to 108 places needed in 2017-2018. To the end of June 2018 there have been an additional five new independent special school places. The current forecast is based on 24 new places being required at the rate of two a month.

d) Special Schools top up:

The level of overspend represents the cost of an additional 23 places in local special schools as these schools are already at capacity.

e) Main stream schools top up:

This area is at budget but was uplifted by 408K at the beginning of 2018. The current forecast reflects latest estimates of increased volumes of children with EHCs – 52 children across primary and secondary schools.

f) Higher education top up:

There is an increasing number of young people staying on in education post 16. This is the areas of greatest growth due to increased numbers. The latest forecast reflects the costs for an extra 60 young people from September 2018.

	<b>High Needs Block</b>	<b>Outturn 17/18</b>	<b>Revised budget 18/19</b>	<b>Forecast spend 18/19</b>
1	Alternative Prov Commissioning	1,138,820	1,196,800	1,196,800
2	In Year Fair Access Panel	338,596	338,000	338,000
3	Parent Partnership (Markfield)	96,416	98,900	96,000

	<b>High Needs Block</b>	<b>Outturn 17/18</b>	<b>Revised budget 18/19</b>	<b>Forecast spend 18/19</b>
4	Visual Impairment	175,253	177,000	175,000
5	SEN Strategy Manager	106,216	110,000	107,000
6	Autism and Language Support Team	317,520	410,000	395,00
7	SEN - Admin Team	183,576	182,500	182,500
8	SEN Portage Service	217,739	160,000	208,000
9	Hearing Impairment Team	163,187	162,700	162,700
10	SEN - Transport traded	225,000	225,000	225,000
11	LOVAAS	49,009	30,000	30,000
12	Speech & Language Therapy	490,082	442,000	522,00
13	Indepndt&VoluntarySc	6,906,712	6,773,314	7,082,000
14	Special schools-place funding	4,270,000	4,360,000	4,360,000
15	Special Schools Top Up	7,687,119	7,286,350	7,687,119
16	Mainstream. Schools Top Up	4,872,486	4,872,486	5,147,486
17	Special Units Top Up	1,031,148	835,000	835,000
18	Higher Education Top Up	2,813,524	2,415,000	3,093,524
19	SEN contingency	1,330,868	1,300,000	1,300,000
20	High Needs in Early Years	224,222	255,100	255,100
21	Tuition Service	549,501	550,000	550,000
22	Simmons House	181,162	220,000	220,000
23	Early help Locality Teams	883,444	880,000	880,000
24	Pathways for Early Intervention	159,471	180,000	180,000
	<b>Subtotal</b>	<b>34,411,071</b>	<b>33,460,150</b>	<b>34,311,229</b>
25	HN contribution to overheads		800,000	800,000
26	HN contribution to attendance & welfare svstem		177,000	177,000
27	HN contribution to head of standards		0	0
28	HN contribution to TU representation		2,000	2,000
29	<b>Subtotal</b>		<b>34,439,150</b>	<b>35,290,229</b>
30	Reduction in budget due to ESFA claw back		<b>1,000,000</b>	
31	<b>TOTAL</b>		<b>33,439,150</b>	<b>35,290,229</b>
32	<b>TOTAL PREDICTED OVERSPEND</b>			<b>1,851,079</b>

**Future mitigation to spend pressure**

17. The following areas for action have been identified to mitigate the spend pressure in the budget and work is in train to forecast the savings that are achievable.

18. Special school places

- a) Establish the SEMH pathway to include a different usage of Tuition and Local Providers such as the Octagon for SEMH and both outreach and therapeutic provision
- b) Review the outreach offer from advisory teachers to provide more support in mainstream schools
- c) Temporary opening of The Grove primary classes on St Mary's site to expand existing provision, however this is also a cost to be found from within the high needs block.

19. Usage of out of borough places

- a) Establishment of The Grove and Riverside Learning Centre Post 16 year settings
- b) Commissioning of increased places at Harrington Scheme, Haringey 6<sup>th</sup> Form Centre
- c) Maximise usage of Area 51 setting, however this setting is short of space for more complex children.
- d) Review young people's post 16 care plans to consider appropriate post 16 pathways such as employment or social care packages

#### **High Needs Block Sub Group Update**

20. Following discussions at the HNB sub group on the 27<sup>th</sup> June 2018 (draft minutes attached at Appendix B), the following actions were agreed:

- a) Review of the budget and challenge back to Education and Skills Funding Agency (ESFA) around the budget setting and place funding for schools and colleges.
- b) Maintenance of school top up rates at current level with no increase in top up, to be reconsidered 2020 post national schools funding changes - £275,000.
- c) Capital receipt contribution to transport through an invest to save bid - £225,000.
- d) Release as agreed of Growth Fund underspend - £360,000.
- e) The HNB sub group continued to question the contribution to Early Help and Overheads and the allocated officers to consider this after looking at the cost savings released by actions in (f) below.
- f) Actions to be taken by the service which may release cost savings:
  - Review of out of borough places for value for money and delivery of effective services
  - Maintain independent school rates and refuse uplift rates
  - Establish an effective pathway for transition of young people into employment and apprenticeships
  - Investigate the banding of college top up rates for high medium and low need

- g) Review a number of contracts including: Royal National Institute for the Blind, Specialist Equipment Purchase (Millbrook), Enhance EHCP support writer and Speech and language therapy.

#### Conclusion

21. The HNB continues to be under significant pressure in 2018/19 and the forecast highlights an increased overspend. Without the key mitigating actions proposed in this report the overspend risks further in-year increases. Additional work is being done to forecast future years' spending profiles to inform decisions about the HNB going forward.

**Background information to demonstrate budget usage.**

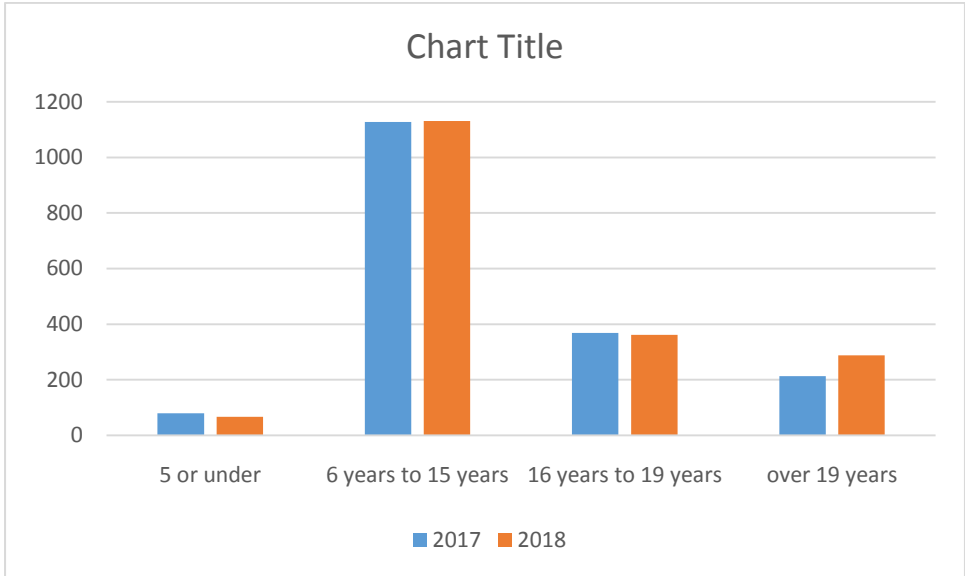
1. The population of children and young people with SEND in Haringey.
2. Haringey has 1848 children and young people with Education Health and Care plans. All statements of special educational need have now been converted to Education Health and Care plans. There has been an increase in the population of children with EHC plans of 503 in the time between 2014 and 2018.

**Chart 1 numbers of children with EHCP's or statements from 2010 to 2018.**

	2010 - 2011	2011 - 2012	2012 - 2013	2013 - 2014	2014 - 2015	2015 - 2016	2016 - 2017	2017- 2018
Pre-School/ Nursery	10	8	9	14	4	8	19	11
Reception	49	52	51	54	61	63	61	56
Year 1	66	57	62	72	64	90	80	78
Year 2	80	77	69	75	86	76	112	88
Year 3	83	95	92	82	88	101	86	114
Year 4	82	95	111	104	92	93	111	96
Year 5	84	93	112	122	116	99	105	113
Year 6	118	100	109	130	121	126	106	114
Year 7	115	129	114	118	139	122	133	123
Year 8	119	120	131	117	117	138	128	138
Year 9	125	123	118	137	118	128	140	129
Year 10	117	131	124	125	138	115	127	138
Year 11	98	116	130	130	124	134	117	129
Year 12	69	59	76	41	37	120	132	111
Year 13	55	54	46	22	32	82	120	122
Year 14	30	45	39	8	8	69	79	104
Year 15						29	70	69
Year 15 plus						7	64	115
	1300	1354	1393	1351	1345	1600	1790	1848

3. The increase in children with an EHCP is as a result of the increased age range, now starting from 0 and extending up to 25 years. There are 11 education health and care plans (EHC's) now in place for those children under 5 years, and the greatest increase being in the 19+ age group of 288 children.

**Chart 2 Increased numbers of children aged over 19 years**

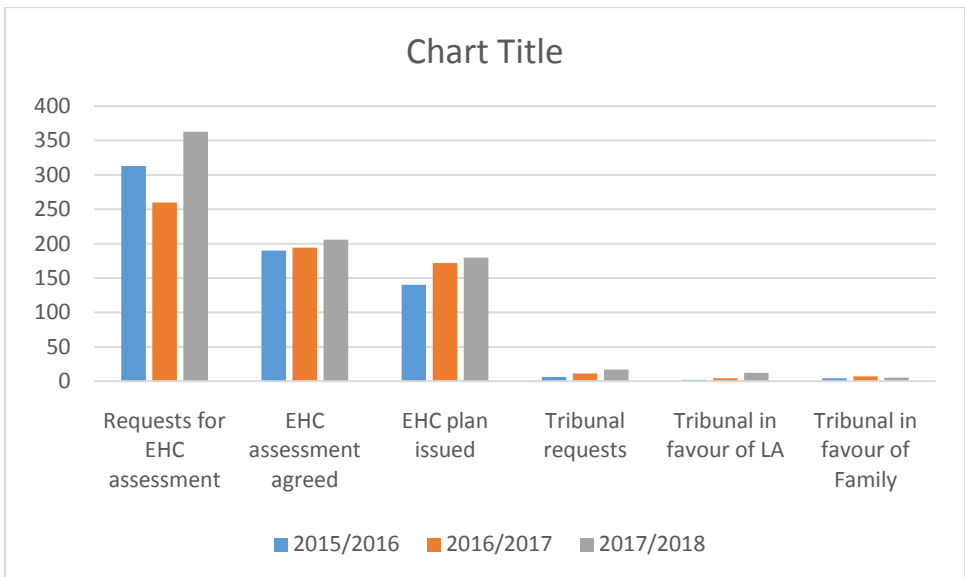


4. The children in the pre 5 and over 19 age ranges were not previously issued with an education health and care plan prior to the reforms in September 2014 which increased the age range, has contributed to the increase in the cohort of children with EHCP's.

**Requests for Educational Health and Care Plans**

5. Requests for Education Health and Care plans have increased, and challenges to the plans have also increased, although the percentage of successful challenges to Education Health and Care Plans have not changed.

**Chart 3 to show requests and tribunal challenges**



6. The thresholds for EHCP assessments were initially high, however as a result of discussions with the Independent Parental Special Educational Advisors (IPSEA),

Haringey has refreshed the eligibility criteria as part of a multi-agency working party to look at this high rate of refusal. The lowering of the threshold for EHC requests now meets the statutory guidance in the Code of Practice, whereby there is an expectation that not all assessments lead to an Education Health and Care Plan. Previously, of those EHC assessments carried out, 99% are agreed to issue as a plan.

7. The highest number of new requests for plans are for those children with Autism. There is an emerging trend for an increase in requests for children with mental health/challenging behaviour.
8. Of the plans issued, only 29% are issued within 20 weeks in 2017 - this is a sharp decline in performance for this area. Factors contributing to this decline in performance include the increased number of plans requested, and reduction in staffing available to carry out new assessments, due to both a vacancy, and also staff required to carry out conversion assessments when transferring statements to plans. There are detailed plans in place to improve this performance, however this increased rate of assessment will increase the need for SEN staff and Educational Psychology staff to carry out the assessments, if the 20 week deadline is to be met.

### **Ceasing of Educational Health and Care Plans**

9. Few Education Health and Care plans have ceased for children since the inception of the reforms in Sept 2014, which is a direct result of the increase in the age range. Do we need to check this based on data we looked at this morning?
10. Education Health and Care Plans can cease when:
  - Young people achieved their educational outcomes - This means in joint working with adult learning disabilities team and health colleagues. There needs to be common understanding of what is an educational outcome.
  - Young people move into employment – educational establishments and young people and their families need to know how to access and make use of career's advice to establish and maintain a young person in employment. There are local services emerging for careers advice.
  - Young people move into higher education – aspirations need to be high and young people and their families need to transition successfully onto the systems of support in university.

### **Patterns Of Need for Children with Education Health and Care Plans**

11. The predominant need in the cohort of children with EHCP's remains Autism and MLD, with numbers rising for those with SEMH and also specific learning disabilities (SPLD). Those with SEMH and SPLD include larger cohorts of Looked After children, of whom 80 have an educational health and care plan. More young people are emerging with SEND needs post adoption. These young people require therapeutic interventions, which cannot be secured at this stage without an EHC plan, as their education services are often provided by private and independent settings.

Chart 4 to show patterns of needs

	ASD	HI	MLD	MSI	PD	PMLD	SEMH	SLD	SLCN	SpLD	VI	
Pre-school	5	2	2		1		1					11
Reception	37	2	4		2	1	3	1		6		56
Year 1	46	4	6		7	1	2	4		8		78
Year 2	52	2	5		7	2		6		13	1	88
Year 3	56	5	19	1	4		2	10		17		114
Year 4	37	1	19		8	1	1	10	2	15	2	96
Year 5	37	1	33		8	1	4	8	1	19	1	113
Year 6	37	4	22	1	6	5	2	14	5	15	3	114
Year 7	46	2	15		5	2		21	4	26	2	123
Year 8	52	7	24		8	2	1	15	3	26		138
Year 9	56	2	23		6	1	2	16	4	18	1	129
Year 10	48	2	30		9	3	3	23	4	15	1	138
Year 11	54	1	27		3	4	3	18	4	15		129
Year 12	35	2	28		6	2	2	15	3	14	4	111
Year 13	38	6	27		8	4	2	18	3	15	1	122
Year 14	36	2	24		6	3	4	16	4	9		104
Year 15	25	2	8		7	2	6	8	2	7	2	69
Year 15 plus	33	3	36		9	5	17	6	1	4	1	115
	730	50	352	2	110	39	55	209	40	242	19	<u>1848</u>

Key for less commonly known terms:

SPLD – specific learning disability

SLCN - speech language and communication needs

VI - visual impairment

SLD – severe learning disability

Factors affecting Budget

12. The ethos for Haringey has been strong in terms of high levels of inclusion. Haringey has always has proportionately larger numbers of children in mainstream schools than statistically similar boroughs according to national data.

Special School Places for Children with EHC plan

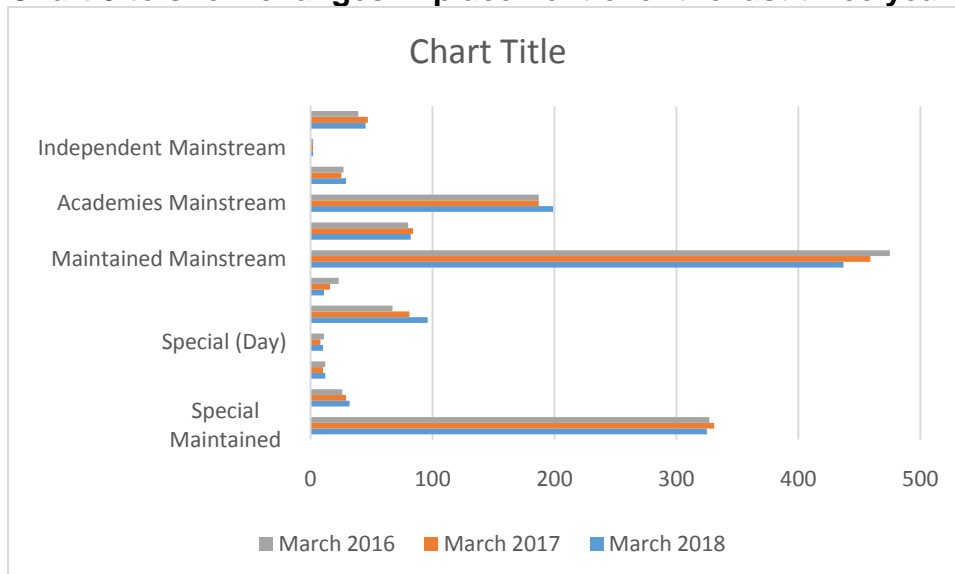
13. The Special Schools budget was increased by £702,000 to help the school meet demand of additional complexity, schools places and a more flexible special school offer e.g. outreach. Places cost 10K per place and additional top up from 10K to 24K.
14. The local special schools have increased their places which has provider further support for the borough, although increased the costs. The places have increased as below:



School	Increased places Sept 2017 – Sept 2018
The Vale	8
The Brook	8
Riverside	7
Total	23

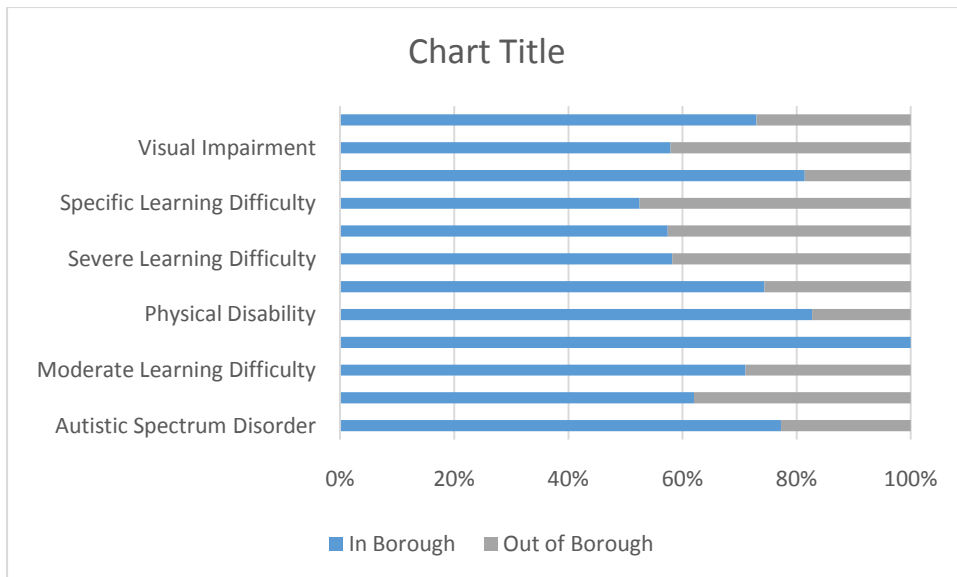
15. Places at Blanche Nevile school were reduced in order to support the increased funding of the special school places at other schools.
16. The average top up for a child at a maintained special school is £16,000, and place funding is £10,000 per place totalling £26,000 per child. £598,000 of this increased spend is attributable to the increased numbers of places.
17. An emerging trend is the reduction in the number of children with education health and care plans in mainstream schools. This has decreased over the last three years, from 801 to 777 in 2017-2018, with the types of school place used showing an increasing trend towards special schools

**Chart 5 to show changes in placement over the last three years**



18. The majority of these special schools are out borough, and are predominantly for those children with ASD and SEMH.

**Chart 6 to show where children are attending school, in borough or out borough, by need**



19. Whilst the numbers are small, the costs of individual out borough provisions are high. There are local gaps in services in terms of specialist provision. This includes Autism, and Social emotional and mental health and therapeutic places.

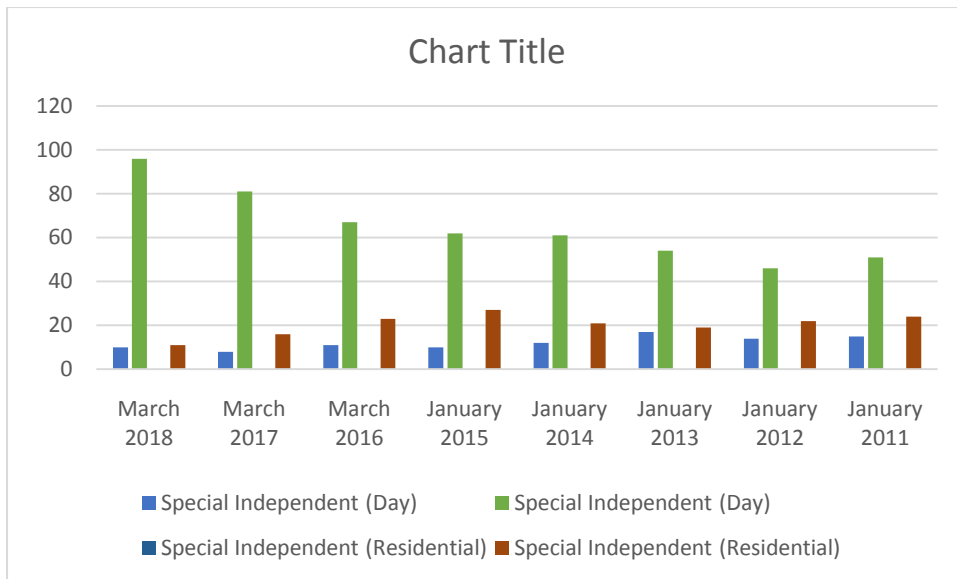
20. The requests for Special School places continues to outstrip demand, however, which means that there have been increased numbers of requests for independent school places.

**Use of out Borough Independent schools**

21. The use of independent school places is showing a steady rise. For those young people under 16 years the independent special school places used were 79 in 2015-2016 and 89 in 2016-2017 and 103 in 2017-2018, with the majority of additional school places for those with SEMH. There is a natural synergy with transport costs as numbers of independent school place provision increases.

22. There was a decrease in number of residential special school places commissioned however from 16 in 2015-2016 to 12 in 2016 – 2017 and 11 in 2017-2018 however the use of these schools is showing a steady rise again. The decrease was in the SEMH places in residential, however of the 14 additional places in independent out borough schools, 7 were for children in care, all of whom had SEMH type needs.

**Chart 7 to show increasing use of independent school places**

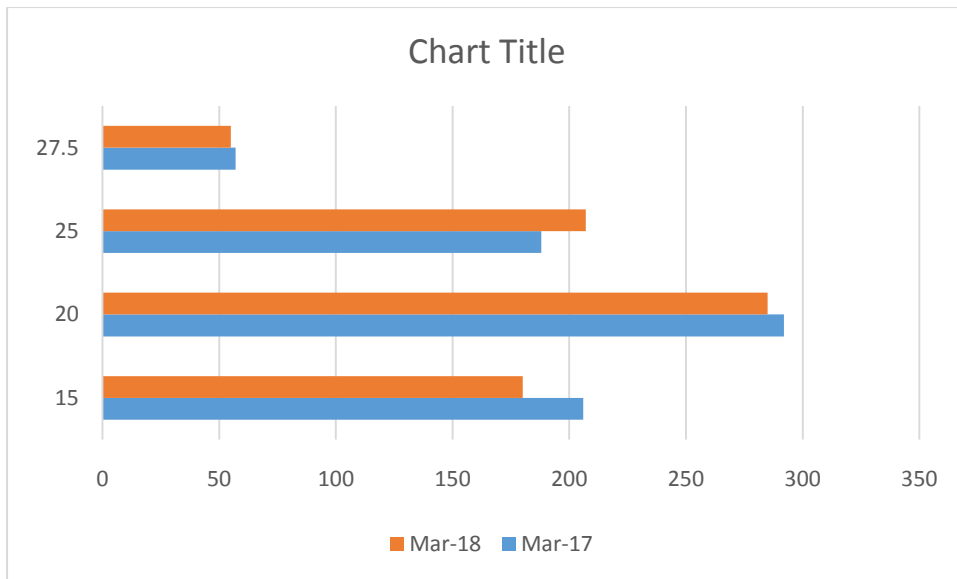


23. Whilst independent school places are being chosen that tend to be of lower cost, the places are still on average 60K per place, which is £840,000 before joint funding is received from partner agencies, health and placements.

#### Patterns of Support for children in Mainstream schools

24. The mainstream schools budget was increased by £408,000 at the start of 2017, and now shows a small underspend. This is not anticipated to continue however, due to factors below.
25. For children attending mainstream schools, there has also been an increase in request for higher numbers of hours, and additional types of specialist support e.g. specialist teaching and support services.

The chart 8 below shows changing patterns of teaching assistant hours.



26. As can be seen, the number of hours at 15 and 20 hours requested is decreasing, and the number of requests for 25 hours is increasing. The additional support that has changed is the support for lunch and break times, which have increased over the last two years, as can be seen below:

**Chart 9 to show use of SMSA hours**

SMSA Hours

	Mar-17	Mar-18
2.5	1	1
3	2	2
4	1	
5	246	287
7	1	
7.5	4	5
Grand Total	255	295

27. Habitually the SMSA time ceased when children transferred to secondary school, however increasingly schools are requesting this remains in place. There is also an increasing number of new plans issued where SMSA time is requested. This has increased the average value of each child’s plan by £2,750.

**Post 16**

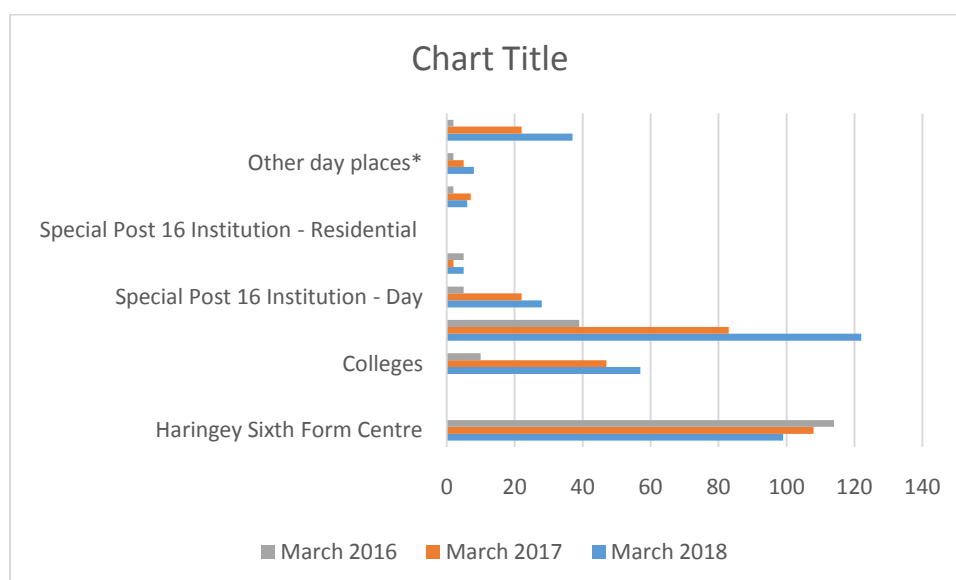
28. Haringey has 288 young people over the age of 19 years who have remained in education. This is a high number compared to statistical neighbours. Of these young people 31 have complex learning disabilities. Nationally there are discussions about how stated outcomes are potentially best achieved, e.g. either through a social care package or through an educational package.

29. Of this age group, the post 16 cohort are most likely to be attending an independent setting.

30. The chart 10 below shows the increase in outborough specialist college places for the post 16 cohort, and reducing use of in borough provision. This is linked in part to lack of specialist courses offered to those with more complex learning disabilities.

	Haringey Sixth Form Centre		Colleges		Special Post 16 Institution - Day		Special Post 16 Institution - Residential		Other day places*		NEET		
	In	Out	In	Out	In	Out	In	Out	In	Out	In	Out	
March 2018	99		57	122	28	5		6	8	37	75		437
March 2017	108		47	83	22	2		7	5	22	89		385
March 2016	114		10	39	5	5		2	2	2	46		225

Chart 10 to show changing destinations of young people post 16



31. As a result of this usage of out borough places, Haringey have commissioned an increased number of places in local colleges to try and meet needs more locally.

Vikki Monk-Meyer  
 Head of Service SEN and Disabilities  
 25<sup>th</sup> June 2018

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## MINUTES OF THE HIGH NEEDS BLOCK MEETING – 27<sup>TH</sup> JUNE 2018

### Attendees:

Vikki Monk-Meyer – Head of Integrated Service SEN and Disabilities

Martin Doyle – HT, Riverside School (Chair)

Michael McKenzie – HT, Alexandra Park School

Phil Dileo- Governor, The Vale School

Gill Gibson – AD, EH, SEND and YT

Dawn Ferdinand – HT, The Willow Primary School

Melian Mansfield – Governor, Pembury House

Emma Murray – Seven Sisters Primary School

Peter Catling – Woodlands Park NS & CC

Shamila Ganeshanlingham – SSC Finance

- 
1. VMM opened the meeting by thanking everyone for coming at short notice. She advised this was a single item meeting to consider the presentation and to have a discussion around what was being presented today.
    - 1.1 The attendees congratulated Melian Mansfield on recently being awarded an MBE
    - 1.2 VMM advised they need to discuss the High Needs Block budget due to the difficulties with the budget.
    - 1.3 It was noted that the meeting was quorate
    - 1.4 VMM referred to her presentation sent out ahead of the meeting.
    - 1.5 Key Issues – the high needs block set for 2018 no longer balances. VMM reported that period 9 set the high needs block budget at £34m.
      - At the time of setting the High Needs Block budget in period 9 in 2017, the budget for 2018 could be set to balance as a result of uplift of 0.25% from school block (480K)
      - The budget closed at 1.5 million overspend on school places and school top up for children with SEND, which was greater than anticipated
      - The over spend was to be balanced against under spends in the growth fund, however the growth fund was also spent
      - The budget for 2018-2019 is 1.0 million less than that for 2017-2018 also due to top slicing of college places from Conel, which has now moved to Camden budget.
    - 1.6 MD commented that the underspend of the growth fund was agreed at the schools forum.
    - 1.7 DF advised that if there was nothing left over from the growth fund there was nothing left to offset.

- 1.8 VMM reported that it was agreed that £480k would come from the schools block and also for the growth fund to offset the high needs block. At that time there was £980k into the growth fund. In the meantime what has happened is there were more year 6's asking for places in secondary and those schools are being funded to the tune of around £946k which wipes out the growth fund. Some of that money will be accrued back so there is £370k in the growth fund and that hasn't been allocated. MM suggested speaking to schools forum to see if they could claw back any of that money and suggested they ask for £350k to come back.
- 1.9 Reasons for the Overspend. VMM advised this is the first year that the high needs block is formula funded. Due to a variety of ongoing factors and reduced budget, the HNB could be circa £3m overspent by the end of next year. Haringey have lost money as Conel have moved over to Camden. Their budget is lower than they thought by £1m and the reasons are unclear if it's Conel or the funding formula being applied incorrectly as we see it. They use 12 Conel places so lose a lot of money from a very little return.
- 1.10 MD asked if the Conel decision is challengeable. VMM advised that it was and that Kamiljit Kaur (Finance) is drilling to down to find out what can be done.
- 1.11 MD asked if the 12 students are all paid through the top up. VMM replied yes. She went on to advise that all college places are top sliced and when Conel went to another borough they took their top slice with them. The Base funding is in addition to the top up.
- 1.12 PD would like to see Conel's budget breakdown. VMM felt this was a good point and will ask them again to provide this breakdown for all post 16 places.
- 1.13 MM advised that Kamaljit will talk to the EFA but assumed Camden would put up an argument.
- 1.14 VMM will write to Conel and ask them for a breakdown of places. Provision map for last year and this year and the names of our students as well.
- Action: VMM
- 1.15 MM commented that it is detrimental to students in Haringey to not have the money.
- 1.16 Discussion followed around base funding in other Boroughs'.
- 1.17 It was noted Haringey have always carried an overspend for post 16 (as it is a growing population with the increased age range) VMM comment
- 1.18 Summary of budget table. VMM noted the impact and added that all budgets were uplifted.
- 1.19 Budget Revisions 17/18. VMM reported.
- The mainstream schools budget was increased by £408,000 in April 2017
  - The Special Schools budget was increased by £702,000 mid-year 2017-2018
  - The out borough schools budget was increased by £497,500 mid-year 2017-2018
- 1.20 She noted that despite those uplifts they are still not at their year-end position. There has been a really significant shift towards the use of specialist provision.



- 1.21 She added that all of the investments give a better quality offer but they all take an increased demand on the budget. Average costs have increased per child by approx £2.7k per child.
- 1.22 In all cases there is additional expenditure and an increased number of places in each school. The increase of 23 places in special schools comes to roughly the overspend.
- 1.23 Independent and Out of Borough. VMM reported:
- Places are used for SEMH and ASD in the main due to lack of local capacity
  - Residential therapeutic places are increasing, linked to rise in requests for those with mental health needs associated with SEMH/Autism
  - Hospital spend has increased
  - Increased use of private alternative provision out reach providers (e.g. Fresh Steps) particularly in LAC and post adoption population.
- 1.24 There is a lot of pressure to apply an EHCP and interventions from other partner agencies and not just education.
- 1.25 Independent Places grew from 89 to 107 and they are all children with SEMH.
- 1.26 GG asked if they get a grant for hospital admissions. VMM replied yes but it's insufficient to meet the demand.
- 1.27 Colleges. VMM reported:
- Higher numbers than average of young people over 19 years in college
  - Wide range of colleges and providers used
  - Top ups are variable and are higher cost than schools (average top up £8,000)
  - Residential requests are increasing at 18 years. 2 tribunals have been lost at this age range which is an average cost of £139,000.
  - We have increased places in local provision for 2018 – e.g. Harrington's, Haringey 6<sup>th</sup> form to keep children local, but this offer needs to be attractive
  - There are local providers such as Area 51 who could be developed further but a new site is needed
- 1.28 To summarise, locally they have a higher than average number of children in education. Part of the problem is they don't have a very well signposted out of education pathway and that needs to be developed.
- 1.29 They have tried to increase provision locally.
- 1.30 Proposed Cost Saving Measures 2018/2019. VMM reported the long term action and short term actions.
- Hold uplift of TA rates, agreed as part of schools block transfer, until 2020 as not currently sustainable – £275K
  - Cease SEN contingency payments – £400K

- Establish invest to save case for transport and capitalise costs – £225K
- Establish an active pathway for moving young people into employment to prevent repetition and of courses and wasted spend
- Audit out borough places for value for money alongside post commissioned through HEP using one off grant
- Band top ups for colleges – requires post/extensive work across boroughs

- 1.31 She invited opinions on the options presented.
- 1.32 MD asked if VMM was talking about all TA's across mainstream. VM replied yes, it had been proposed to put 50p on the hourly rate.
- 1.33 DF commented that in the past schools had the staff to support but they have all reduced their staff, so are having to use every bit of money they have to support the children in the school.
- 1.34 PD felt the point behind it was that most schools have more than 1 TA for the groups of children. She didn't feel there was a need for the great number of TA's that they currently have. They are in different times now.
- 1.35 VMM talked through all proposed options.
- 1.36 MD talked through his year 8 students and the top up. Noting costs are at £199k as a result there was a shortfall. Across the whole of the school his SEN department is running at a significant loss.
- 1.37 VMM noted the uplift of the TA rates hasn't gone out to schools yet.
- 1.37 Committee members discussed the contingency payment at £400k. This has gone out to schools.
- 1.38 MM noted that on the balance of discussion this one has already been factored into schools budget setting. It is not statutory but it would be taken out of school budgets who have already set their budgetes.
- 1.39 DF understood that the money has to come from somewhere but felt the issue was that schools who are being more inclusive are being penalised again in year.
- 1.40 MM noted that the cheapest place to have a SEN child is in mainstream school but the places have dropped.
- 1.41 MM felt the first option was more palatable as most heads wont have factored it into their budgets and with the second option you are hitting the schools that are already saving you the most money.
- 1.42 VMM noted it will be an ongoing conversation.
- 1.43 MM noted they were putting enormous energy into looking at these sums of money that don't add up to the Conel number.
- 1.44 MD asked if they could do anything about schools not meeting their pan and then being funded for bulge classes.

- 1.45 The committee discussed the correlation between schools with deficit budgets and those who have a high proportion of SEN children.
- 1.44 VMM asked that of the two options the committee felt the in-year option is not sensible but as the other option hasn't been allocated it's still on the table.
- 1.45 MD advised they have less students on Haringey transport than ever before.
- 1.46 VMM reported she is going to do an invest to save on transport.
- 1.47 MM noticed the £800k put towards overheads in the budget. He suggested asking for a £400k saving.
  
- 1.48 GG noted they have this consistency across all of their budgets. It's right that they should ask and put a case together and get evidence to put forward.
- 1.49 MD suggested asking for 25% of that budget and noted that schools have taken a 20% cut on their contingency.
- 1.50 VMM asked who would that question go to.
- 1.51 SS advised Paul Durrant.
- 1.52 VM will ask Paul Durrant how the on costs are derived for this particular project.
- 1.53 GG felt it was a corporate issue and she would find out would overturn such a decision.
- 1.54 MD felt if there is an actual cost of maintaining the building that's what they should be paying.
- 1.55 VMM suggested the other things are around looking at out of borough places and then potentially trying to band the top up for colleges. .
- 1.56 MD reported that the high needs block is paying £800k into early help. They have had a 13% cut.
- 1.57 PD commented it was whether early help should be funded from the high needs block.
- 1.58 MM suggested that was an area that could look at for savings as it does not directly effect the EHCP children.
- 1.59 MM felt the discussion around high needs funding is critical now, they need to focus the funding where it is most needed and that is on individual children in schools.
- 1.60 GG suggested producing a report on the types of needs they are supporting.
- 1.61 The Committee discussed the funding and now they will support the 1.8k children.
- 1.62 The Committee agreed they needed to look at the overall budget and the proportion of expenditure of each of the headings.
- 1.63 VMM commented they are spending too much proportionately through out of borough.
- 1.64 Potential mitigating factors against overspend in future years. VMM\_reported the following:

- In 2020-2021 there are schools opening, and changes in funding formula, which will help to reduce the pressure on the high needs block and allow future development of services again:
- The Grove Special School will have been open 1 year
- Riverside 6<sup>th</sup> form will be at capacity
- The growth of the EHCP cohort will stabilise and employment pathways will be established
- The SEMH and therapeutic provision will be established, including the commissioning of overnight provision – skill set needs to be strong
- The high needs block will be uplifted by £900K

- 1.65 It was agreed at the meeting that VMM would talk to Paul and look at savings from overheads and early help.
- 1.66 MM proposed the LA cut overheads by £400k if it means early help only get a £50k cut. Suggest we find from overheads and early help. They would maintain that funding for 2 years.
- 1.67 MD asked the committee to vote or agree MM's proposal. The committee agreed to move forward with the proposal above.
- 1.68 VMM felt they needed to help the wider organisation to understand it is important to them as well as the schools for this budget to work.
- 1.69 VMM noted schools that are going to be opening will provide them with additional capacity and then gradually the shift will come back to Borough. They should see the difference in 2020.
- 1.70 MM reported there is a national issue around the lack of funding for SEN. There is an increase in statutory responsibilities and no increase in funding.
- 1.71 Recommendations
- 1.72 The committee collectively agreed the following in year cuts as a package:
- Maintain the TA uplift at current uplift until 2020
  - To investigate and challenge Conel
  - To request the transfer of the remaining underspend on the growth fund
  - Capitalising transport through invest to save
  - A combined saving of £450k between reduced overhead costs for early help contribution to be investigated
  - Total savings of £950 + release the agreed uncommitted growth fund of £370k.
- 1.73 It was noted that this proposal would go to schools forum
- 1.74 There were no other items to discuss. The meeting came to a close at 13.47

Signed.....Date .....

**Chair**

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**Agenda Item 11****Report Status**

For information/note   
For consultation & views   
For decision

**Report to Haringey Schools Forum – Thursday 12<sup>th</sup> July 2018**

**Report Title: Administrative arrangements for the allocation of central government grants paid to schools via the authority.**

**Author:**

Kamaljit Kaur – Finance Business Partner - Schools and Learning  
Contact: 0208 489 5232 Email: [Kamaljit.kaur@haringey.gov.uk](mailto:Kamaljit.kaur@haringey.gov.uk)

**Purpose:**

**Purpose: To comply with the Schools Forum (England) Finance Regulations in informing members of the arrangements for administering grants paid to schools.**

**Recommendation:**

**That members note the report.**

## **1. Introduction.**

### 1.1. The Schools Forum (England) Finance Regulations require that:

The authority must consult the schools forum annually in respect of the authority's functions relating to the schools budget, in connection with the following—

- (a) Arrangements for the education of pupils with special educational needs;
- (b) Arrangements for the use of pupil referral units and the education of children otherwise than at school;
- (c) Arrangements for early year's provision;
- (d) Administrative arrangements for the allocation of central government grants paid to schools via the authority.

The authority may consult the forum on such other matters concerning the funding of schools as they see fit.

### 1.2. This report sets out the administrative arrangements for (d), the allocation of central government grants paid to schools via the local authority.

## **2. School Grants.**

### 2.1. La receive grants from ESFA and these grants are administered by schools Finance Team. The major grants are set out below.

#### **Dedicated Schools Grant (DSG).**

### 2.2. Forum members will be familiar with the arrangements for the allocation of the DSG. The DSG split is into four blocks, for High Needs, Central Services School Block, Early Years and Schools. It is a requirement of School and Early Years Finance Regulations 2018 that the schools forum agrees the proposals for the use of Early Years and School block DSG centrally retained Budgets. LAs may allocate up to 0.5% of funding designated as Schools Block to other items with the consent of the schools forum even though the LA is entitled to retain funding for High Needs. However, the practice in Haringey is to consult with the forum on all proposed retentions, which in most cases follows earlier consultation



with working parties.

- 2.3. Regulations 8(5) and 11(6) enable local authorities to retain funding centrally within the schools budget in relation to those education functions previously funded by Education Services Grant, subject to the agreement of their schools forums.
- 2.4. The CSSB covers funding allocated to local authorities to carry out central functions on behalf of pupils in both maintained schools and academies in line with the agreement at school forum. CSSB funding will cover two distinct elements, which are handled separately within the formula: ongoing responsibilities and historic commitments. Haringey's CSSB allocation for 2018-19 is £3,090,130.12.
- 2.5. The Forum receives reports on the proposed use of the DSG between December and February. Following decisions on centrally retained budgets, de-delegation and the Growth Fund the remaining budgets are delegated through the funding formulae in use:

2.5.1. **The Schools Funding Formula, agreed in consultation with the forum, working parties and schools.**

The individual school allocations are now calculated using a pro-forma provided by the Education Funding Agency (EFA). Once the EFA have validated and approved the pro-forma, the amount to be 'recouped' from the DSG and paid directly to academies is calculated. The balance is paid to the LA in 25 instalments and passed on to maintained schools through 12 equal monthly cash advances. Payments to maintained schools are made net of de-delegated sums.

2.5.2. **The Early Years Single Funding Formula, agreed in consultation with the Early Years Working Party, the forum and early years providers.**

LA is responsible for paying the free entitlement to all providers, including academies, free schools, private, voluntary and independent (PVI) settings. Schools, including academies and free schools receive payment through the monthly cash advance via the Early Years Team on an agreed periodic pattern. PVI funding is adjusted throughout the year to reflect actual numbers in the setting. For maintained provision the allocations are indicative but are not changed during the year; any adjustments are made in the following financial year.

2.5.3. **Additional 15 hours entitlement for 2 years old.**

The 15 hour entitlement for disadvantaged two year old funding and 15 hours additional funding for eligible 3-4 years is also paid

through the DSG and payments administered by the Early Years Team.

**2.5.4. Alternative provisions for High Needs**

The special school and alternative provision providers are funded at £10k per agreed place. The EFA will 'recoup' funding for academies and the remainder paid through a monthly cash advance

- 2.6. Payments will also be made to schools from centrally retained budgets, including special educational needs funding, growth fund payments etc. and are generally made through a monthly cash advance.
- 2.7. Any DSG unspent at the end of the year must be carried forward for the purposes of the Schools Budget. The previous year's financial out-turn is reported to the July Forum meeting.
- 2.8. The Chief Finance Officer (CFO) must certify that the DSG is being used as required by the School and Early Years Finance Regulations and must produce a note to the LA's accounts showing DSG received and allocated.

**Pupil Premium.**

**2.9. For pupils in KS1 to KS4, including reception classes.**

This is calculated by the EFA using data they hold. It includes funding for those deemed to be from a deprived background, identified as having been eligible for free school meals at any time in the last six years, children of service families, and children adopted from care. The money for maintained schools is passed to the LA and paid in its entirety to schools through monthly cash advance. The actual allocation is not confirmed until June, after the start of each financial year.

- 2.10. The LA also receives funding for current Looked After Children (LAC). The Head of the Virtual School is responsible for managing this funding. In Haringey, a small sum is retained for the overall benefit of LAC with the bulk of the funding passed to the school in which the pupil is placed. If that is a Haringey school, it is paid through a monthly advance.

- 2.11. The CFO must certify that the Pupil Premium and following grants have been allocated as required by the grant conditions attached to them.

**Education Funding Agency (EFA) Post 16 Students.**

- 2.12. **The funding is calculated by the EFA using its formula and contracts**

**with the LA on the payments to be received in respect of maintained schools.**

- 2.13. The LA has to certify at the year-end that all payments have been passed to the appropriate school. This is done through a monthly cash advance. Payments from the EFA will also be received in respect of bursaries that are also pass ported on to schools.

### **Universal Infants Free School Meals.**

- 2.14. This grant is allocated for academic year and for 2017-18, the first tranche is based on estimates and covered the period September 2017 to March 2018. This was passed on to maintained schools in its entirety through a cash advance. The second tranche will be received in May and will pay the summer term allocation plus adjustments for autumn and spring terms to reflect actual take-up of meals.

### **Devolved Formula Capital**

- 2.15. This is calculated using a lump sum plus an amount per pupil. The grant received by the LA for maintained, non-voluntary aided schools and passed on in its entirety through the cash advance in equal instalments.

### **Summer Schools.**

- 2.16. The DfE makes a provisional allocation for each local authority's summer schools funding. A schedule of schools taking part in the programme in each local authority is issued by the DfE based on an amount per eligible pupil per week identified by each participating secondary school that will run a summer school. The DfE make an allocation of 50% of projected funding in June and this is paid to maintained schools as a lump sum through cash advances as soon as possible thereafter.
- 2.17. Summer schools funding allocations are adjusted in October when schools submit confirmation that the summer schools took place and the number of eligible pupils who confirmed they would attend.

### **Year 7 Catch-Up.**

- 2.18. **The grant is paid for Year 7 pupils not achieving specified levels in numeracy and literacy.**
- 2.19. It is paid as a lump sum per pupil and normally comes to LAs for maintained schools in February and is paid as a lump sum through cash

advances as soon as possible thereafter.

### **PE and Sports.**

2.20. This funding has been used to fund improvements to the provision of PE and sport, for the benefit of primary-aged pupils. It is paid in two tranches, in October and April and is passed on to schools as lump sums through the nearest possible cash advance.

### **School Direct (NCTL).**

2.21. Paid to lead schools participating in the programme. LAs receive the funding for maintained schools and passed on through cash advances.

### **Miscellaneous Grants.**

The main grants are set out above. In addition, some smaller or more limited grants may become available during the course of the year that will be administered in accordance with their accompanying Conditions of Grant

**Agenda Item  
12**



**Report Status**

For information/note   
 For consultation & views   
 For decision

**Corporate Resources – Finance.**

**Report to Haringey Schools Forum – 12th July 2018**

**Report Title: Schools Budget Outturn and final Budget Allocation for Dedicated Schools Grant for 2017-18.**

**Author:**

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**Purpose**

- (i) To advise the Schools Forum of the latest Dedicated Schools Grant allocations for 2017-18 and 2018-19.
- (ii) To advise the School Forum of the 2017-18 DSG Outturn
- (iii) To advise the Schools Forum of the Schools Balances carried forward from the 2017-18 financial year.
- (iv) To advise the School Forum of individual schools balances carried forward and schools in financial difficulty.

**Recommendations**

- (a) The latest DSG allocation for 2017-18 and 2018-19 are noted.
- (b) The position on Schools' Balances at March 2018 is noted.
- (c) The final DSG Outturn for School Block, Early Years Block, the High Needs Block are noted and the draw down from DSG reserves to meet overspend in High Needs Block is agreed.
- (d) The DSG Reserve is Carried forward into 2018-19 at £1.4M is noted.

**1. DEDICATED SCHOOLS GRANT (DSG).**

**2017-18**

1.1. The final value of the Dedicated Schools Grant for 2017-18 had not been announced when this report was written.

1.2. The latest 2017-18 allocation is as follows:

**TABLE 1: 2017-18 DEDICATED SCHOOLS GRANT ALLOCATION**

	<b>2017-18 DSG allocations prior to deductions for academies recoupment and direct funding of high needs places by EFA</b>	<b>Academies Recoupment</b>	<b>2017-18 DSG allocations, after deductions for academies recoupment and direct funding of high needs places by EFA</b>
	<b>£M</b>	<b>£M</b>	<b>£M</b>
<b>2017-18 Schools Block</b>	195.29	59.08	136.21
<b>2017-18 Provisional Early Years Block</b>	18.67	0.00	18.67
<b>2017-18 High Needs Block</b>	35.85	1.85	34.01
<b>2017-18 Total DSG Allocation</b>	<b>249.81</b>	<b>60.92</b>	<b>188.89</b>

**2018-19**

1.3. The Dedicated Schools Grant for 2018-19 will be adjusted for various changes during the course of the year, primarily in the Early Years Block. The final DSG for 2018-19 will not be confirmed until summer term 2018.

1.4. The latest 2018-19 DSG allocation is as follows:

**TABLE 2: DEDICATED SCHOOLS GRANT ALLOCATIONS**

<b>DSG Schools Block</b>	<b>2017-18 DSG allocations</b>	<b>2018-19 DSG allocations as at December 2017</b>	<b>2018-19 DSG allocations as at April 2018</b>
Schools Block unit of funding (SBUF)	5,835.34	5,756.00	5,756.00
Schools Block pupil numbers (headcount) *	33,467.00	33,724.00	33,724.00
	<b>£M</b>	<b>£M</b>	<b>£M</b>
<b>Schools Block</b>	195.29	195.30	195.30
NRA cash adjustment	0.00	0.00	0.00
Total Schools Block before recoupment	195.29	195.30	195.30
<b>Deduct:</b>			
Schools Block for recoupment	(59.08)	0.00	(64.92)
<b>Total Schools Block after recoupment</b>	<b>136.21</b>	<b>195.30</b>	<b>130.38</b>

Note: There is no School Block recoupment for Dec.2017 allocations for 2018/19 budget, as APT for 2018/19 was not completed.

<b>DSG Provisional Early Years Block</b>	<b>2017-18 DSG allocations</b>	<b>2018-19 DSG allocations as at December 2017</b>	<b>2018-19 DSG allocations as at April 2018</b>
	<b>£M</b>	<b>£M</b>	<b>£M</b>
Early years universal entitlement for 3 and 4 year olds	12.70	12.70	12.70
Early years additional entitlement for 3 and 4 year old children of eligible working parents	2.06	3.67	3.67
Early years entitlement funding for disadvantaged 2 year olds	2.43	2.44	2.44
Indicative early years pupil premium	0.16	0.16	0.16
Illustrative allocation- Early years supplementary funding for maintained nursery school	1.26	1.25	1.25
Illustrative allocation for Early years Disability Access Fund	0.06	0.06	0.06
<b>Total Provisional Early Years Block</b>	<b>18.67</b>	<b>20.26</b>	<b>20.26</b>

<b>2018-19 CSSB funding for historic commitments</b>	<b>2017-18 DSG allocations</b>	<b>2018-19 DSG allocations as at December 2017</b>	<b>2018-19 DSG allocations as at April 2018</b>
CSSB pupils (headcount)	0	33,724	33,724
	<b>£M</b>	<b>£M</b>	<b>£M</b>
CCB Block	0.00	3.09	3.09
<b>Total CCB Block</b>	<b>0.00</b>	<b>3.09</b>	<b>3.09</b>



<b>DSG High Needs Block</b>	<b>2017-18 DSG allocations</b>	<b>2018-19 DSG allocations as at December 2017</b>	<b>2018-19 DSG allocations as at April 2018</b>
	<b>£M</b>	<b>£M</b>	<b>£M</b>
High Needs Block before deductions	35.85	35.80	34.84
<b>Deduct:</b>			
High Needs Block for direct funding of places by EFA	(1.84)	(1.75)	(1.96)
<b>High Needs Block after deductions</b>	<b>34.01</b>	<b>34.05</b>	<b>32.88</b>

<b>TOTAL DSG ALLOCATION</b>	<b>188.89</b>	<b>252.07</b>	<b>186.62</b>
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## 2. DEDICATED SCHOOLS BUDGET OUTTURN 2017-18

- 2.1. The Schools and Early Years Finance Regulations require that under or overspends in the centrally retained element of the Dedicated Schools Budget are carried forward.
- 2.2. The accumulated position on centrally retained funding as at 31 March 2018 was a net deficit of £0.281m. The individual components are set out in Table 3 and explained in the following paragraphs.
- 2.3. Schools Forum asked to agree this net deficit.

**TABLE 3: 2017-18 DSG OUTTURN**

<b>2017-18 DSG</b>	<b>Budget</b>	<b>Outturn</b>	<b>Variance</b>
	£	£	£
School Block	136,211,434	135,759,737	(451,697)
Early Years Block	18,667,571	17,899,710	(767,861)
High needs block	34,008,522	35,508,856	1,500,334
<b>Total DSG</b>	<b>188,887,526</b>	<b>189,168,302</b>	<b>280,776</b>

## SCHOOLS BLOCK

**TABLE 4: 2017-18 SCHOOLS BLOCK CARRY FORWARD**

Item	£
Growth Fund	(353,572)
Contingency for Schools in Financial Difficulty	(179,000)
School Improvement	80,875
<b>Total Schools Block Underspend</b>	<b>(451,697)</b>

A minus figure represents an underspend

### **GROWTH FUND**

- 2.4. Forum received a report on 17<sup>th</sup> January 2018 on Growth Fund Actuals for 2017-18. At that time an under-spend of £353,572 was reported, which is carried forward in the Schools Block DSG Reserves into 2018-19.

### **CONTINGENCY FOR SCHOOLS IN FINANCIAL DIFFICULTY**

- 2.5. The Contingency for Schools in Financial Difficulty panel did not allocate any of the budget to any individual schools last year. Schools Forum at its last meeting delegated the responsibility of using the unspent Schools Block de-delegated Contingency for Schools in Financial Difficulty DSG Reserve to the Contingency for Schools in Financial Difficulty Panel to commission the LA to recruit a member of staff to train schools to help address the growing concerns on schools financial management. This underspend of £179,000 is therefore carried forward in the Schools Block DSG Reserves into 2018-19.

### **SCHOOL IMPROVEMENT**

- 2.6. There is overspend in the service during the year of £80,875, which carried forward in Schools Block DSG Reserves into 2018-19.

### **EARLY YEARS BLOCK**

**TABLE 5: 2017-18 EARLY YEARS BLOCK CARRY FORWARD**

Item	£
2 year old free funding	(386,678)
3 & 4 year old free funding	(231,927)
Early Years Premium	(28,103)
Pathways to Early Intervention	(73,148)
Disability Access Fund	(48,005)
<b>Total Early Years Block Underspend</b>	<b>(767,861)</b>

A minus figure represents an underspend

### **HIGH NEEDS BLOCK**

2.7. At its meeting on 17<sup>th</sup> January 2018 the Forum was informed of the projected overspend of £780k in this block in 2017-18. This overspend has since increased to £1,500,334 and is explained in Table 6.

**TABLE 6: 2017-18 HIGH NEEDS BLOCK CARRY FORWARD**

<b>Service</b>	<b>Jan 18 projection</b>	<b>Final Outturn</b>	<b>Variance</b>
Alternative Prov Commissioning	0	920	920
In Year Fair Access Panel	0	596	596
Parent Partnership (Markfield)	0	(2,484)	(2,484)
Visual Impairment	0	(1,747)	(1,747)
SEN Strategy Manager	(34,390)	(36,684)	(2,294)
Language Support Team	(47,130)	(105,821)	(58,691)
SEN - Admin Team	0	1,076	1,076
SEN Portage Service	51,346	57,739	6,393
Hearing Impairment Team	(6,300)	487	6,787
SEN - Transport traded	0	0	0
LOVAAS	19,900	21,109	1,209
Speech & Language Therapy	0	30,082	30,082
Autism Support Team	(84,830)	21,804	106,634
Indepndt & Voluntary Sc	589,600	529,812	(59,788)
Special schools-place funding	0	0	0
Special Schools Top Up	618,900	701,019	82,119
Mainstream Schools Top Up	(341,800)	(25,314)	316,486
Special Units Top Up	(14,852)	182,648	197,500
Higher Education Top Up	208,174	386,524	178,350
SEN contingency	(84,000)	(84,132)	(132)
High Needs in Early Years	2,000	(30,878)	(32,878)
Tuition Service	4,650	(499)	(5,149)
Simmons House	0	1,162	1,162
Locality Team	0	3,444	3,444
Pathways for Early Intervention	(101,000)	(150,529)	(49,529)
	<b>780,268</b>	<b>1,500,334</b>	<b>720,066</b>

A minus figure represents an underspend

### **DSG RESERVES AND LOAN TO HIGH NEEDS BLOCK**

2.8. The final DSG outturn is showing overspend of £0.281m across all three blocks. Overspend in High Needs Block in 2017-18 lead to a drawdown from the carried forward DSG Reserves in 2017-18. Appendix 1 details the DSG Reserves to date and forecast DSG Reserves at the end of 2017-18.

2.9. A separate DSG Strategy paper is being presented after this paper which will provide a more detailed description of the pressures underlying the overspend and an update on the plans to bring the budgets into balance.

### 3. SCHOOL BALANCES

3.1. Table 7 sets out the change in Schools Balances over the course of 2017-18. Further detail on a school-by-school basis shown in Appendix 2.

**TABLE 7 – SCHOOL REVENUE BALANCE AS AT MARCH 2018**

Balances Total	Mar-17 £	Mar-18 £	Change £	Change %
Nursery	201,293	174,494	(26,799)	(13.31%)
Primary	5,404,356	6,971,190	1,566,834	28.99%
Secondary	1,313,623	350,202	(963,421)	(73.34%)
Special	399,385	517,872	118,487	29.67%
Tuition Centre	0	0	0	
<b>Total</b>	<b>7,318,658</b>	<b>8,013,758</b>	<b>695,100</b>	<b>(56.98%)</b>

These figures exclude academies and closing schools. A minus balance represents a deficit balance and a minus change represents a reduction in balances.

3.2. The movement in school surplus balances since 2011 shown in Table 8.

**TABLE 8 - MOVEMENT IN SCHOOL REVENUE BALANCES MARCH 2011 TO MARCH 2018**

31-Mar	Net Revenue Surplus Balance £	Movement £
2011	3,487,231	
2012	5,594,413	2,107,182
2013	6,711,571	1,117,158
2014	10,502,890	3,791,319
2015	10,522,894	20,004
2016	9,292,618	(1,230,276)
2017	7,318,658	(1,973,960)
2018	8,013,758	695,100

Academies and closed schools excluded throughout.

3.3. Within this overall picture, there are 8 schools with a deficit revenue balance and 11 schools with 'high' balances.

- 3.4. Schools with surplus balances held represents funding provided for pupils in schools at that time but not spent on them. This may be the result of a strategic decision by the governing body to defer current expenditure in order to fund longer-term benefits for the school. There is also the need to be prudent in setting aside a contingency for unforeseen expenditure or loss of income. Beyond that, unused and uncommitted balances are depriving pupils of their due share of funding. Forum members asked to be mindful of this in feeding back to Head teacher and Governor Forums.
- 3.5. The capital balance represents unspent Devolved Formula Capital and revenue contributions to capital made by schools.

#### **4. SCHOOLS IN FINANCIAL DIFFICULTY**

- 4.1 There is a number of schools in financial difficulty and emerging national funding formula will further put increasing pressure on schools budgets.
- 4.2 The increasing number of issues presented to the LA includes:
  - a. Cash loans to schools who have not been able to pay bills for goods and services to keep creditors from the school gate. At 31/03/18 total monies owed by school is £1.5m, an increase of £300k from previous year;
  - b. A debt recovery total of close to £1.1million for schools who have not been able to pay monies owed;
  - c. Increased redundancy costs arising from restructures to reduce overall salary bills.
- 4.3 Currently Finance Team liaise with the schools who have been unable to pay back their loans. The meetings are to ensure robust and realistic plans are in place to pay back the loan within a specified and reasonable timeline. Proposals to support schools in financial difficulty, including dedicated and tailored high quality financial advice and support.
- 4.4 The LA request Schools Forum members to note the difficult financial climate both Schools and LA are currently operating in.

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**APPENDIX 1****ANALYSIS OF DSG RESERVE FOR 12 JULY 2018 SCHOOLS FORUM**

<b>DSG Reserves</b>	<b>Schools Block</b>	<b>Early Years Block</b>	<b>High Needs Block</b>	<b>DSG Reserve</b>
	<b>£</b>	<b>£</b>	<b>£</b>	<b>£</b>
<b>2016-17 Opening Balance</b>	<b>(336,000)</b>	<b>(2,958,517)</b>	42,866	<b>(3,251,651)</b>
2016-17 (Underspend) / Overspend	(479,340)	(366,552)	1,297,285	451,393
2016-17 Balance c/f	(815,340)	(3,325,069)	1,340,151	<b>(2,800,258)</b>
LA Decision as at 31 March 2017 to close DSG accounts		1,340,151	(1,340,151)	
<b>2016-17 Balance c/f after LA decision</b>	<b>(815,340)</b>	<b>(1,984,918)</b>	<b>0</b>	<b>(2,800,258)</b>
<b>2017-18 Opening Balance</b>	<b>(815,340)</b>	<b>(1,984,918)</b>	<b>0</b>	<b>(2,800,258)</b>
Growth Fund	(353,572)			<b>(353,572)</b>
Contingency for Schools in Financial Difficulty	(179,000)			<b>(179,000)</b>
School Improvement	80,875			80,875
Early Years Block Childcare subsidy paid		700,000		700,000
Early Years Block 2 year old pressure paid		400,000		400,000
2 year old free funding		(386,678)		<b>(386,678)</b>
3 & 4 year old free funding		(231,927)		<b>(231,927)</b>
Early Years Premium		(28,103)		<b>(28,103)</b>
Pathways to Early Intervention		(73,148)		<b>(73,148)</b>

<b>DSG Reserves</b>	<b>Schools Block</b>	<b>Early Years Block</b>	<b>High Needs Block</b>	<b>DSG Reserve</b>
Disability Access Fund		(48,005)		(48,005)
Language Support Team			(105,821)	(105,821)
SEN Portage Service			57,739	57,739
LOVAAS			21,109	21,109
Speech & Language Therapy			30,082	30,082
Parent Partnership (Markfield)			(2,484)	(2,484)
Independent & Voluntary Schools			529,812	529,812
Bringing in fund (HNB)			(288,257)	(288,257)
Special Schools Top Up			701,019	701,019
Mainstream Schools Top Up			(25,314)	(25,314)
Special Units Top Up			182,648	182,648
Higher Education Top Up			386,524	386,524
SEN contingency			(84,132)	(84,132)
High Needs in Early Years			(30,878)	(30,878)
Intergrated Work & Family support			128,287	128,287
LA Decision as at 31 March 2018 to close DSG accounts		1,500,334	(1,500,334)	
<b>Projected 2017-18 Balance C/F</b>	<b>(1,267,037)</b>	<b>(152,445)</b>	<b>0</b>	<b>(1,419,482)</b>
<b>Projected 2018-19 Opening Balance</b>	<b>(1,267,037)</b>	<b>(152,445)</b>	<b>0</b>	<b>(1,419,482)</b>
Early Years Block 2018-19 EYB 2 year old pressure		400,000		400,000
2017-18 Early Years Block 3 & 4 year old universal		(1,126,000)		(1,126,000)
0.25% of 18/19 schools block to Highneeds block	488,248		(488,248)	0
<b>Projected 2018-19 position</b>	<b>(778,789)</b>	<b>(878,445)</b>	<b>(488,248)</b>	<b>(2,145,482)</b>



## SCHOOLS REVENUE AND CAPITAL BALANCE ANALYSIS

School	DFES Num	Rev Balance 31/03/17	Cap Balance 31/03/17	Total Balance 31/03/17	Rev Balance 31/03/18	Cap Balance 31/03/18	Total Balance 31/03/18	Movements in Rev Balance	Movements in Cap Balance	Total Movements
<b>Nursery</b>										
Pembury	1000	121,902.14	2,205.47	124,107.61	81,402.70	(33,303.23)	48,099.47	(40,499.44)	(35,508.70)	(76,008.14)
Rowland Hill	1001	(7,324.02)	8,975.78	1,651.76	31,586.78	0.00	31,586.78	38,910.80	(8,975.78)	29,935.02
Woodland Park	1003	86,715.14	6,537.39	93,252.53	61,504.42	5,047.12	66,551.54	(25,210.72)	(1,490.27)	(26,700.99)
<b>Nursery Totals</b>		<b>201,293.26</b>	<b>17,718.64</b>	<b>219,011.90</b>	<b>174,493.90</b>	<b>(28,256.11)</b>	<b>146,237.79</b>	<b>(26,799.36)</b>	<b>(45,974.75)</b>	<b>(72,774.11)</b>
<b>Primary Schools</b>										
Alexandra Primary	2078	85,771.82	11,862.96	97,634.78	27,516.94	15,183.96	42,700.90	(58,254.88)	3,321.00	(54,933.88)
Belmont Infants	2003	69,248.52	14,821.36	84,069.88	84,019.97	9,169.11	93,189.08	14,771.45	(5,652.25)	9,119.20
Belmont Junior	2002	41,391.77	(6,099.98)	35,291.79	31,728.93	(0.60)	31,728.33	(9,662.84)	6,099.38	(3,563.46)
Bounds Green Infants	2005	63,886.85	37,200.80	101,087.65	99,247.51	16,257.00	115,504.51	35,360.66	(20,943.80)	14,416.86
Bounds Green Junior	2004	106,648.26	12,261.81	118,910.07	94,467.09	231.85	94,698.94	(12,181.17)	(12,029.96)	(24,211.13)
Bruce Grove	2083	56,932.13	16.50	56,948.63	25,389.22	65.42	25,454.64	(31,542.91)	48.92	(31,493.99)
Campsbourne School	2008	134,467.52	10,920.03	145,387.55	198,080.50	25,395.05	223,475.55	63,612.98	14,475.02	78,088.00
Chestnuts	3511	(117,981.59)	77,610.46	(40,371.13)	219,103.58	11,993.95	231,097.53	337,085.17	(65,616.51)	271,468.66
Coldfall Primary	2029	404,893.00	4,647.18	409,540.18	474,909.55	282.93	475,192.48	70,016.55	(4,364.25)	65,652.30
Coleridge Primary	2058	240,331.35	(71,628.53)	168,702.82	188,083.30	0.00	188,083.30	(52,248.05)	71,628.53	19,380.48
Crowland Primary	2075	(10,136.35)	25,014.44	14,878.09	(9,017.16)	0.00	(9,017.16)	1,119.19	(25,014.44)	(23,895.25)
Devonshire Hill Primary	2015	189,117.47	0.00	189,117.47	165,840.37	4,136.93	169,977.30	(23,277.10)	4,136.93	(19,140.17)
Earlham Primary	2080	(90,035.31)	8,729.57	(81,305.74)	84,134.72	8,234.73	92,369.45	174,170.03	(494.84)	173,675.19
Earlsmead	2020	154,600.20	0.00	154,600.20	132,791.23	0.00	132,791.23	(21,808.97)	0.00	(21,808.97)
Ferry Lane	2065	(76,588.81)	12,934.24	(63,654.57)	(1,721.36)	18,646.55	16,925.19	74,867.45	5,712.31	80,579.76
Highgate Primary	2022	4,766.60	120.53	4,887.13	4,798.48	(0.41)	4,798.07	31.88	(120.94)	(89.06)
Lancasterian Primary	2025	186,206.61	33,455.81	219,662.42	90,933.84	42,702.88	133,636.72	(95,272.77)	9,247.07	(86,025.70)
Lea Valley Primary	2063	384,124.00	28,847.37	412,971.37	265,160.68	27,313.25	292,473.93	(118,963.32)	(1,534.12)	(120,497.44)
Lordship Lane Primary	2082	116,339.22	(11.17)	116,328.05	128,959.19	(56.17)	128,903.02	12,619.97	(45.00)	12,574.97
Mulberry	3001	437,356.99	(0.26)	437,356.73	420,701.36	0.11	420,701.47	(16,655.63)	0.37	(16,655.26)
Muswell Hill Primary School	2085	57,008.17	5,148.15	62,156.32	68,745.16	12,888.13	81,633.29	11,736.99	7,739.98	19,476.97
North Harringay Primary	3512	215,119.09	0.00	215,119.09	311,836.05	0.00	311,836.05	96,716.96	0.00	96,716.96
Our Lady of Muswell	3500	242,429.85	0.00	242,429.85	268,951.27	0.00	268,951.27	26,521.42	0.00	26,521.42
Rhodes Avenue Primary	2072	136,402.50	178.40	136,580.90	145,185.75	(8,116.01)	137,069.74	8,783.25	(8,294.41)	488.84
Risley Avenue Primary	2084	30,150.16	11,420.24	41,570.40	242,215.24	0.00	242,215.24	212,065.08	(11,420.24)	200,644.84
Rokesly Infant	2042	(13,186.62)	5,536.66	(7,649.96)	9,570.73	7,319.41	16,890.14	22,757.35	1,782.75	24,540.10
Rokesly Junior	2041	225,836.53	87,812.17	313,648.70	300,053.10	89,676.67	389,729.77	74,216.57	1,864.50	76,081.07
St Aidan's	3000	8,357.60	41,934.05	50,291.65	61,899.95	46,451.82	108,351.77	53,542.35	4,517.77	58,060.12
St Francis de Sales Infant	3507	117,403.63	0.00	117,403.63	72,259.58	0.00	72,259.58	(45,144.05)	0.00	(45,144.05)
St Francis de Sales Junior	3501	179,208.14	0.00	179,208.14	232,995.29	0.00	232,995.29	53,787.15	0.00	53,787.15
St Gilda's RC Junior	3509	16,983.62	0.00	16,983.62	32,523.90	0.00	32,523.90	15,540.28	0.00	15,540.28
St Ignatius	3502	51,560.84	0.00	51,560.84	50,216.39	0.00	50,216.39	(1,344.45)	0.00	(1,344.45)
St James CE Primary	3303	94.74	0.00	94.74	53,634.30	0.00	53,634.30	53,539.56	0.00	53,539.56
St John Vianney	3510	68,243.89	835.36	69,079.25	185,184.68	0.00	185,184.68	116,940.79	(835.36)	116,105.43
St Martin of Porres	3508	(54,371.56)	0.00	(54,371.56)	(113,408.54)	0.00	(113,408.54)	(59,036.98)	0.00	(59,036.98)
St Mary's CE Primary	3306	144,061.38	0.00	144,061.38	236,958.99	0.00	236,958.99	92,897.61	0.00	92,897.61
St Mary's RC Infants	3505	69,028.33	0.00	69,028.33	50,963.29	0.00	50,963.29	(18,065.04)	0.00	(18,065.04)
St Mary's RC Junior	3503	58,101.86	0.00	58,101.86	85,448.18	0.00	85,448.18	27,346.32	0.00	27,346.32
St Michael's N6	3302	85,702.00	0.00	85,702.00	200,291.47	0.00	200,291.47	114,589.47	0.00	114,589.47
St Paul's RC Primary	3504	57,284.56	0.00	57,284.56	112,763.93	0.00	112,763.93	55,479.37	0.00	55,479.37
St Peter in Chains	3506	57,223.84	0.00	57,223.84	46,008.80	0.00	46,008.80	(11,215.04)	0.00	(11,215.04)
Seven Sisters	2088	159,666.38	7,153.28	166,819.66	207,820.55	(257.76)	207,562.79	48,154.17	(7,411.04)	40,743.13
South Harringay Infants	2046	129,903.58	0.00	129,903.58	167,778.82	0.00	167,778.82	37,875.24	0.00	37,875.24
South Harringay Junior	2045	410,109.44	119,667.58	529,777.02	394,452.61	0.00	394,452.61	(15,656.83)	(119,667.58)	(135,324.41)
Stamford Hill	2047	(102,657.84)	18,512.82	(84,145.02)	(130,698.61)	10,480.58	(120,218.03)	(28,040.77)	(8,032.24)	(36,073.01)
Stroud Green	2079	0.00	0.00	0.00	138,561.70	(249,706.70)	(111,145.00)	138,561.70	(249,706.70)	(111,145.00)
Tetherdown	2031	124,717.39	0.00	124,717.39	106,254.12	5,348.98	111,603.10	(18,463.27)	5,348.98	(13,114.29)
Tiverton Primary	2057	67,859.89	8,733.96	76,593.85	150,119.12	17,438.71	167,557.83	82,259.23	8,704.75	90,963.98
Welbourne Primary	2062	145,507.73	48,180.93	193,688.66	110,511.97	61,622.51	172,134.48	(34,995.76)	13,441.58	(21,554.18)
West Green	2051	39,289.87	6,535.79	45,825.66	83,413.70	1,361.59	84,775.29	44,123.83	(5,174.20)	38,949.63
Weston Park Primary	2076	(731.73)	(0.30)	(732.03)	155,466.00	(91,756.00)	63,710.00	156,197.73	(91,755.70)	64,442.03
The Willow	2077	296,708.98	25,111.59	321,820.57	208,085.00	34,219.09	242,304.09	(88,623.98)	9,107.50	(79,516.48)
<b>Primary Totals</b>		<b>5,404,356.49</b>	<b>587,463.80</b>	<b>5,991,820.29</b>	<b>6,971,190.43</b>	<b>116,527.56</b>	<b>7,087,717.99</b>	<b>1,566,833.94</b>	<b>(470,936.24)</b>	<b>1,095,897.70</b>
<b>Secondary Totals</b>										
Fortismere	4032	(130,197.13)	74,864.51	(55,332.62)	(218,125.13)	68,799.07	(149,326.06)	(87,928.00)	(6,065.44)	(93,993.44)
Gladesmore Community	4033	1,540,663.65	15,783.78	1,556,447.43	1,058,006.81	(12,286.54)	1,045,720.27	(482,656.84)	(28,070.32)	(510,727.16)
Highgate Wood School	4030	(204,272.60)	68,031.13	(136,241.47)	(190,809.55)	38,550.12	(152,259.43)	13,463.05	(29,481.01)	(16,017.96)
Hornsey School for Girls	4029	289,981.13	4,276.55	294,257.68	246,361.03	25,269.68	271,630.71	(43,620.10)	20,993.13	(22,626.97)
Dukes Academy	4031	(5,406.22)	0.00	(5,406.22)	(259,487.00)	0.00	(259,487.00)	(254,080.78)	0.00	(254,080.78)
Park View Academy	4037	(177,145.99)	52,206.75	(124,939.24)	(285,744.47)	52,206.75	(233,537.72)	(108,598.48)	0.00	(108,598.48)
<b>Secondary Totals</b>		<b>1,313,622.84</b>	<b>215,162.72</b>	<b>1,528,785.56</b>	<b>350,201.69</b>	<b>172,539.08</b>	<b>522,740.77</b>	<b>(963,421.15)</b>	<b>(42,623.64)</b>	<b>(1,006,044.79)</b>
<b>Special Schools</b>										
Blanche Nevile	7000	308,174.46	3,554.44	311,728.90	148,224.56	7,998.45	156,223.01	(159,949.90)	4,444.01	(155,505.89)
Riverside	7006	60,013.07	(0.08)	60,012.99	3,529.55	0.00	3,529.55	(56,483.52)	0.08	(56,483.44)
The Vale	7001	177,536.27	17,219.96	194,756.23	145,113.29	24,351.93	169,465.22	(32,422.98)	7,131.97	(25,291.01)
The Brook	7005	(146,338.60)	21,702.20	(124,636.40)	221,004.45	22,486.94	243,491.39	367,343.05	784.74	368,127.79
<b>Special Totals</b>		<b>399,385.20</b>	<b>42,476.52</b>	<b>441,861.72</b>	<b>517,871.85</b>	<b>54,837.32</b>	<b>572,709.17</b>	<b>118,486.65</b>	<b>12,360.80</b>	<b>130,847.45</b>
<b>Total Schools Revenue Balances</b>		<b>7,318,657.79</b>	<b>862,821.68</b>	<b>8,181,479.47</b>	<b>8,013,757.87</b>	<b>315,647.85</b>	<b>8,329,405.72</b>	<b>695,100.08</b>	<b>(547,173.83)</b>	<b>147,926.25</b>
<i>Funding sources included - APT School Budget Share, 3 &amp; 4 year old funding, 2 year old funding, Nursery Class funding, Pupil Premium, UIFSM, PE &amp; Sports Grant, Year 7 Catch up funding, Growth Fund, SEN Contingency, Special Schools and Special Unit place and top up funding, Post 16 funding.</i>										

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**Agenda Item  
13**



**Report Status**

For information/ note   
 For consultation & views   
 For decision

**Report to Haringey Schools Forum – 12 July 2018**

**Report Title: Schools Forum Work Plan 2018 -19 Academic Year.**

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**Purpose: To inform the Forum of the updated work plan for the 2018-19 academic year and provide members with an opportunity to add additional items.**

**Recommendations:**

**That the updated work plan for the 2018-19 academic year is noted.**

**1. Schools Forum**

- 1.1. It is good practice for Schools Forum to maintain a work plan so that members ensure that key issues are considered in a robust and timely way.
- 1.2. Members of the Forum are asked to consider whether there are any additional issues that should be added to the work plan for the next Academic Year.
- 1.3. This work plan will be included on the agenda for each future meeting so that members are able to review progress and make appropriate updates.

**Haringey Schools Forum - Work Plan Academic Year 2018-19**

September 2018.

- Induction of new Forum and election of chair and vice chair.
- Consultations on funding arrangements 2019-20.
- Schools Funding Formula 2019-20.

October 2018.

- Funding formula 2019-20.
- Arrangements for the use of pupil referral units and the education of children otherwise than at school.
- Early Help and Preventative services update.
- Updates from Working Parties.

December 2018.

- Dedicated School Budget Strategy 2019-20.
- Early Year Block.
- Central Block.
- Update from Working parties.

January 2019.

- Update on Dedicated Schools Budget Strategy 2018-19.
- Funding Formula 2019-20.
- Growth Fund.
- High Needs Block.
- Early Help and Preventative services update.
- Updates from working parties.

February 2019.

- Scheme for Financing Schools.
- Update on Dedicated Schools Budget Strategy 2019-20.
- The Schools Internal Audit Programme.
- Update from working parties.

July 2019.

- Dedicated Schools Budget Outturn 2017-18
- Outcome of Internal Audit Programme 2017-18
- Forum Membership
- Update from working parties